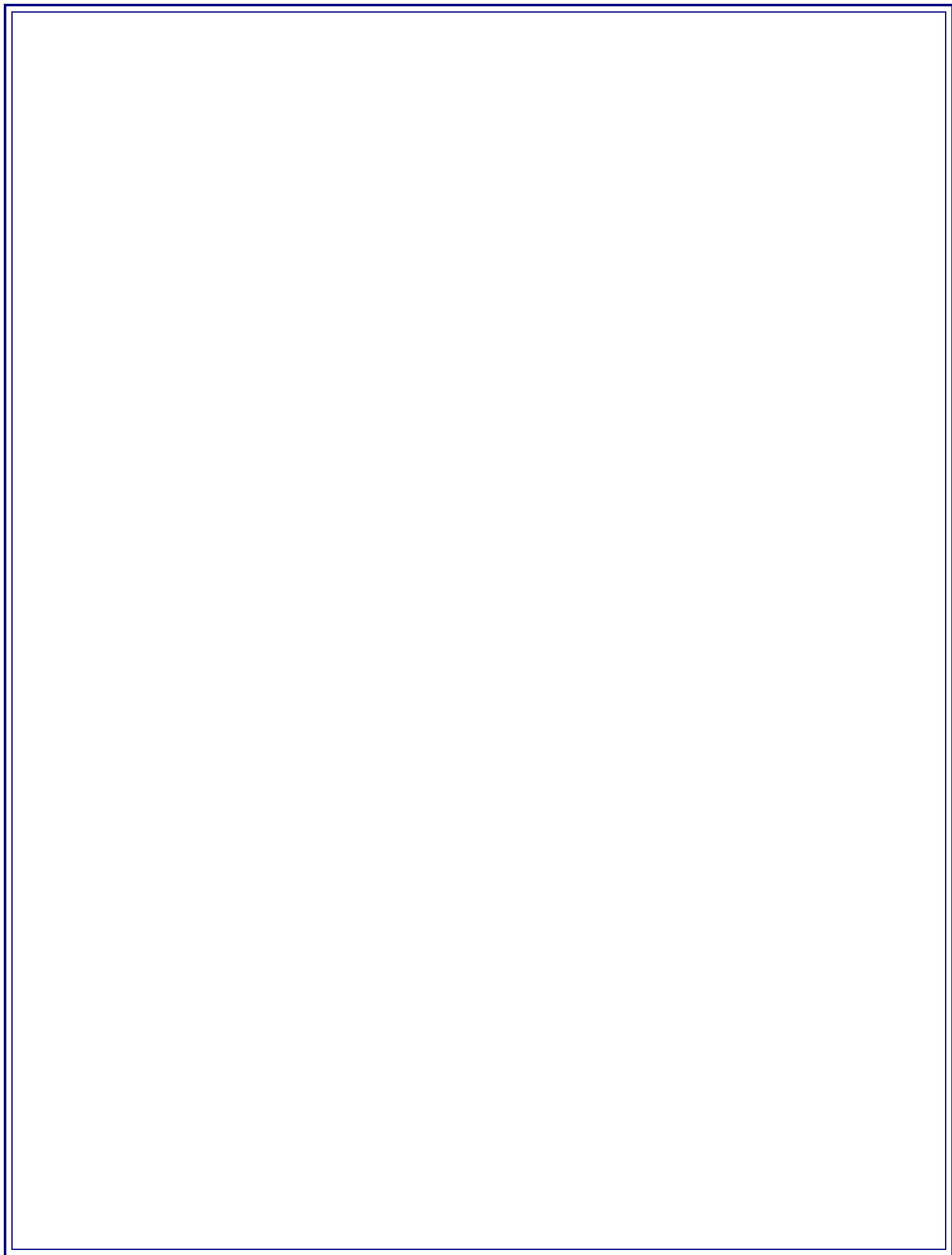




# REALIZING JUSTICE

Defender Caseload Report  
Fiscal Year 2007





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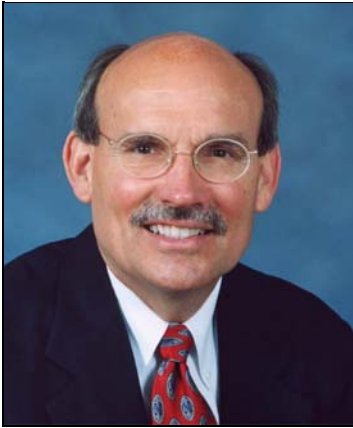
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COMMONWEALTH OF KENTUCKY

## DEPARTMENT OF PUBLIC ADVOCACY

100 FAIR OAKS LANE, SUITE 302 • FRANKFORT, KENTUCKY 40601 • 502-564-8006 • FAX: 502-564-7890



Governor Fletcher, members of the General Assembly, and citizens of Kentucky:

I am proud to present the FY 2007 Caseload Report for the Kentucky Department of Public Advocacy (DPA). In 2007, DPA made extraordinary efforts to provide high quality service to the 148,518 clients whose life and liberty were at stake, at an exceptionally low cost of \$254.16 per case. Behind the numbers and charts are advocates dedicated to protecting the sacred constitutional rights of every indigent adult and juvenile.

It is important to use the enclosed data as well as other data to identify trends in the criminal justice system. This report dramatically demonstrates one clear and undeniable trend: higher and higher caseloads for Kentucky's public defenders. I am convinced that the continual increase in DPA's caseloads without adequate funding will ultimately put the criminal justice system at risk. Starting in 2000, when DPA represented 97,818 cases, DPA's caseloads have risen each year to over 148,000 in FY07. To illustrate just one example among many, the Boone Trial Office saw a 17% increase last year with only one additional attorney. I believe a balanced criminal justice system must include equal resources on both sides. Kentucky citizens expect prosecutors, law enforcement, courts, and public defenders to be funded equally in order to enhance public safety as well as protect the rights of our citizens. Yet, DPA resources continue to fall behind as caseloads rise more quickly than funding.

Providing reliable caseload information is a responsibility I take seriously. In 2006, I made the decision to invest in a new case management system that would ensure caseload integrity for the Department today and into the future. The information contained in this report is taken from our new case management system. It is the numeric representation of DPA's work and the story of justice and public safety during this past year.

Please join me in celebrating DPA's thirty-six year tradition of zealous representation of indigent citizens and marked success in improving the criminal justice system. It has been my pleasure to serve the agency for the Commonwealth as the Public Advocate.

Sincerely,

Ernie Lewis

Public Advocate

Commissioner, Department of Public Advocacy

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## DPA DEFENDER MISSION STATEMENT

Provide each client with high quality services through an effective delivery system, which ensures a defender staff dedicated to the interests of their clients and the improvement of the criminal justice system.

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### Summary of Department of Public Advocacy Case Measurement Purpose

The Department of Public Advocacy Defender Annual Caseload Report is the primary reference document to measure the workload of Kentucky's public defenders. The agency has captured case data for more than two decades. While the tools and depth of information gathered have improved during this time period, the annual report still serves the same basic purpose. It is an internal document for the purposes of management decisions and an external document as an open record of the work of the agency.

The Department is committed to information collection and publication for several purposes:

- To provide accountability to the Commonwealth of Kentucky for Department of Public Advocacy work
- To continue participation in the Kentucky Unified Criminal Justice Information System (UCJIS)
- To improve the Kentucky criminal justice system through data collection as a way to study system effectiveness

The Department of Public Advocacy is also committed to data integrity and consistency. The agency continually examines its data entry processes and data entry definitions to ensure reliability of the published report. In FY 2006, the Department purchased an upgraded software package for collecting information about its work. DPA is committed to the best technology and training for the purpose of data integrity.

## EXECUTIVE SUMMARY

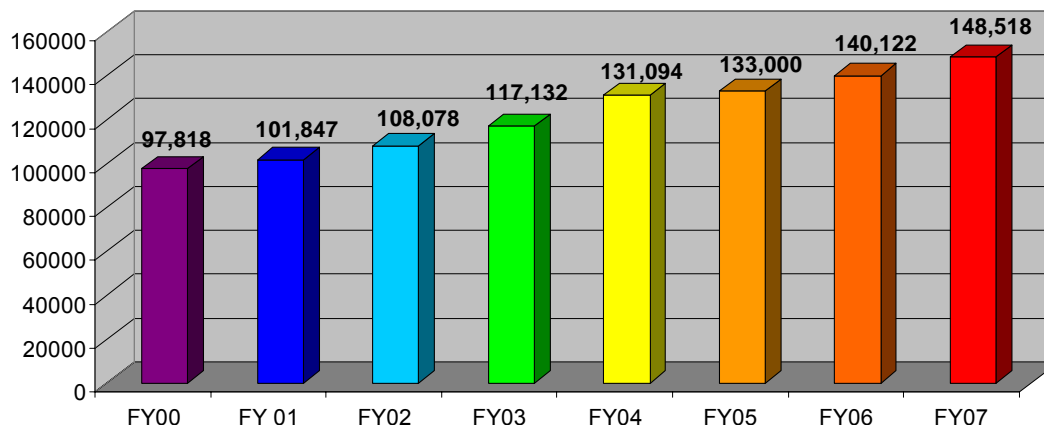
### DPA total caseload increases for the 8<sup>th</sup> Consecutive Year

The Department of Public Advocacy (DPA) experienced total caseload growth for the 8th consecutive year in FY 2007, continuing a long term trend. This is despite fewer arrests statewide and a declining national crime rate. DPA caseloads are affected by criminal justice trends, legislative enactments, and economic conditions. DPA is mandated under KRS Chapter 31 to administer the statewide public defender system. The Department is appointed to represent individuals who have demonstrated their indigency status to the satisfaction of trial and appellate judges. Indigency is determined by judges utilizing factors outlined in KRS 31.120. DPA is responsible for all clients who are appointed by a judge. As a result, DPA must continually adjust its defined resource pool to meet an undefined and growing need.

The following outlines some of the key trends in the Department of Public Advocacy in FY 2007:

- Overall cases handled by DPA rose to 148,518, up from 140,335 in FY 2006. This represents a 5.8% increase in the total number of cases handled by the agency from the previous year. It continues the trend that has prevailed since FY 2000.

### Total DPA Caseload

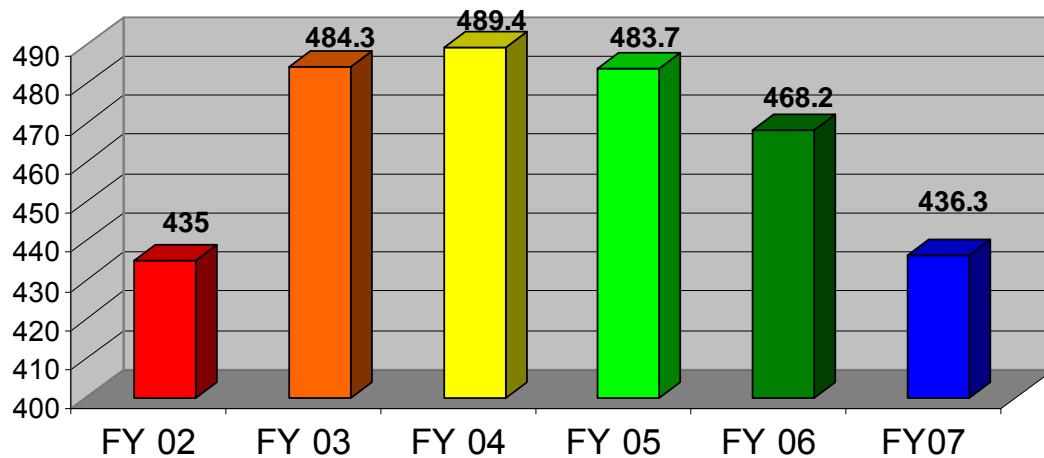


- This represents a 52% increase in caseload since 2000.



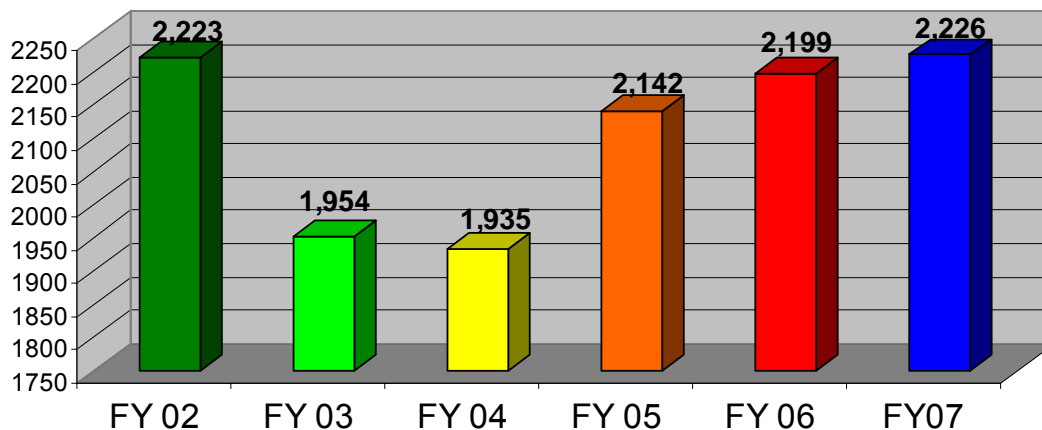
- The Trial Division new cases opened per attorney dropped to 436.3 in FY 2007 from 468.2 in FY 2006. The drop is attributable largely to the addition of 36 staff attorneys in FY 2007 as authorized by the 2006 Kentucky General Assembly to help relieve a burgeoning workload.

### Average Trial Cases per DPA Attorney



- The Post Trial Division opened 2,226 cases in FY 2007, up from 2,199 in FY 2006. This represents a 1.2% increase in the number of cases handled by the Post Trial Division of DPA.

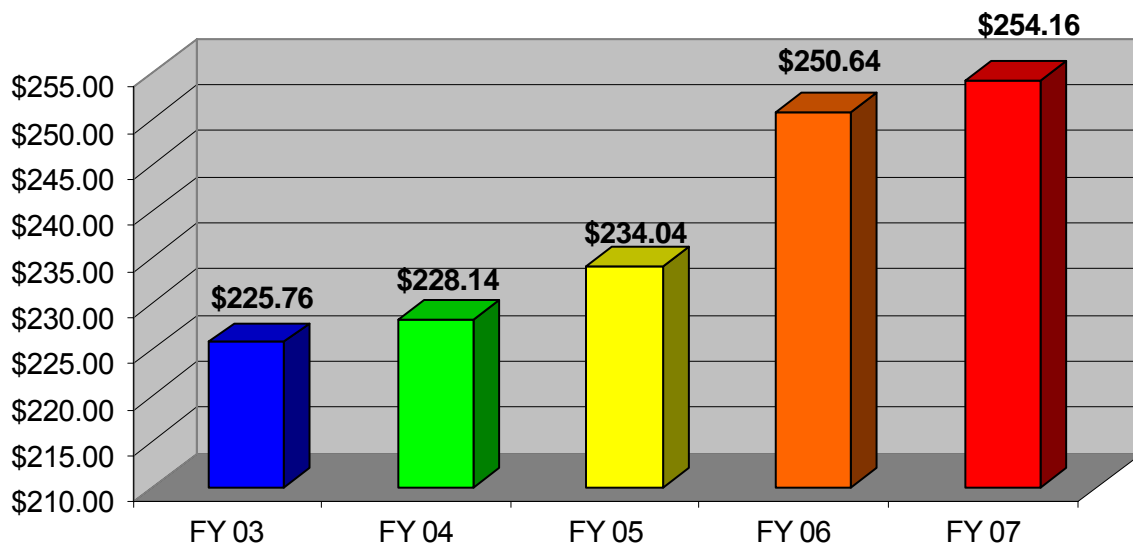
### Post Trial Total Caseload



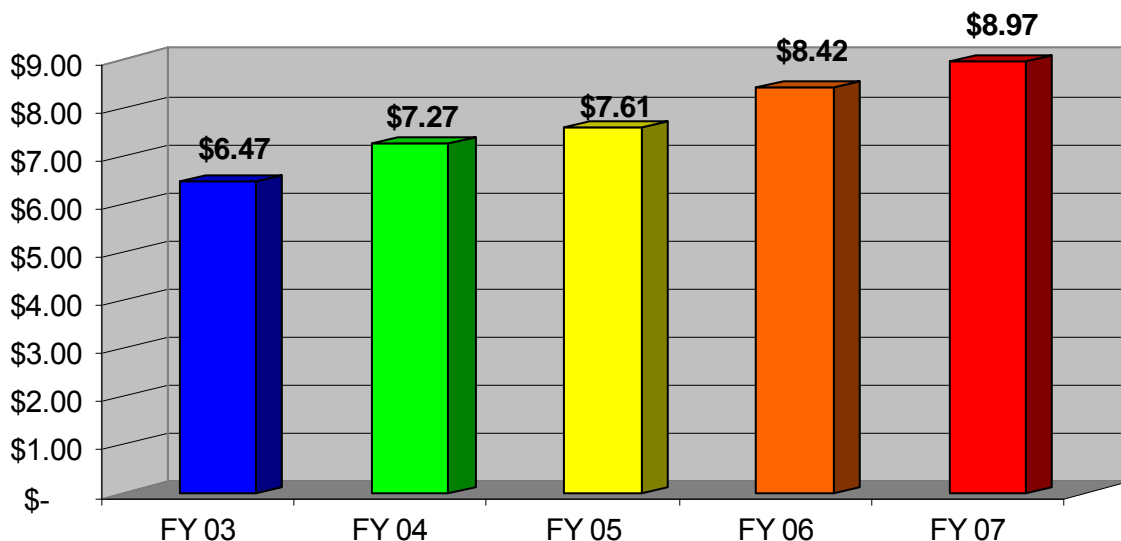
- The FY 2007 Department of Public Advocacy cost-per-case was \$254.16\*.
- The funding per capita was \$8.97\*.

\* This includes all sources of revenue, including contributions by Louisville-Metro and Lexington-Fayette Urban County Government.

### Five Year Comparison Total Cost Per Case



### Five Year Comparison Total Funding Per Capita





# FY 2007 Trial Division Case and Population Totals with Average Number of Cases per 1,000 Population\*\*: Case Rate Grows for the 8th Straight Year

## Northern Region

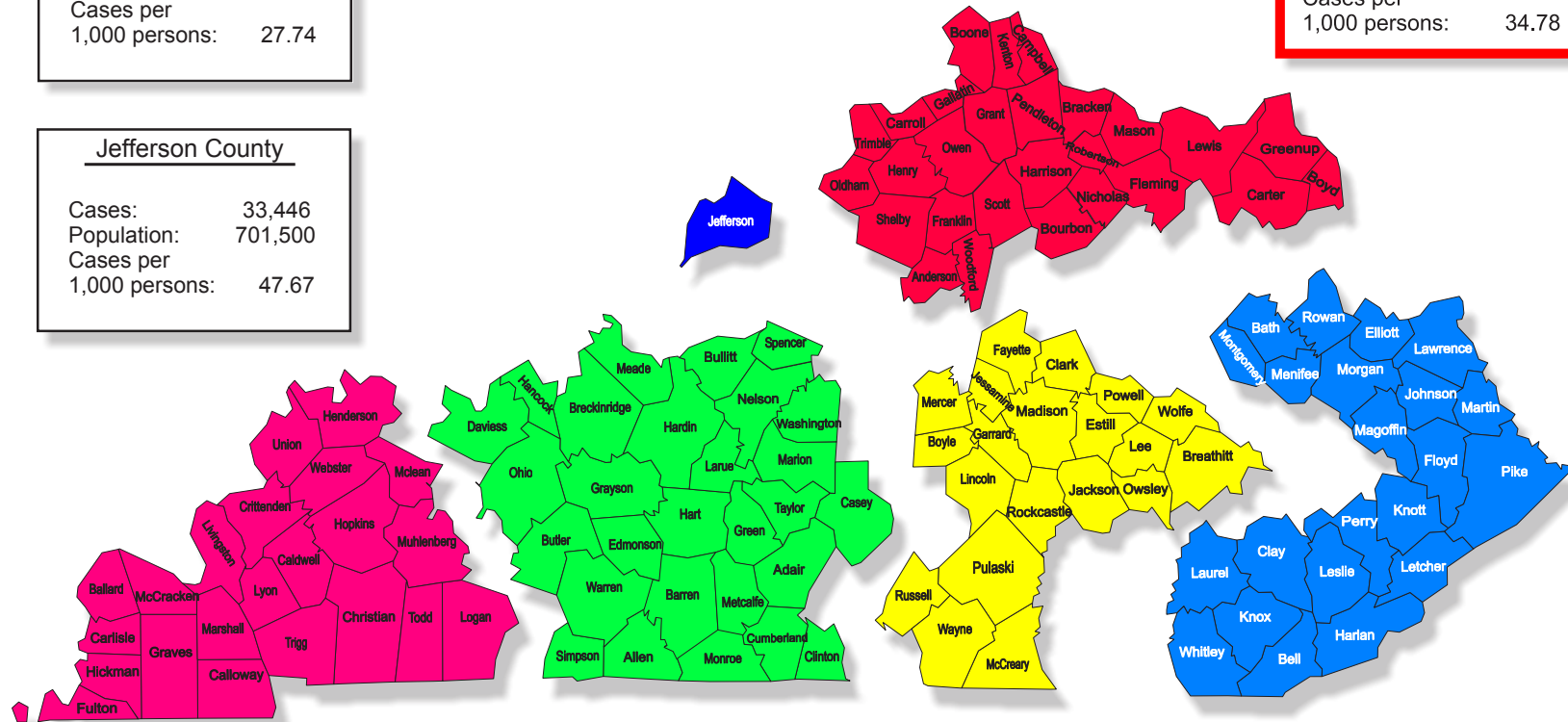
Cases: 24,793  
Population: 893,567  
Cases per  
1,000 persons: 27.74

## Jefferson County

Cases: 33,446  
Population: 701,500  
Cases per  
1,000 persons: 47.67

## Trial Division Totals

Cases: 146,292  
Population: 4,206,074  
Cases per  
1,000 persons: 34.78



## Western Region

Cases: 24,088  
Population: 518,269  
Cases per  
1,000 persons: 46.47

## Central Region

Cases: 22,653  
Population: 801,500  
Cases per  
1,000 persons: 28.26

## Bluegrass Region

Cases: 22,576  
Population: 732,218  
Cases per  
1,000 persons: 30.83

## Eastern Region

Cases: 18,736  
Population: 559,020  
Cases per  
1,000 persons: 33.51

\*\* Source: U.S. Census Bureau, 2006 Population Estimates



# OVERVIEW OF EXPENDITURES

FY 2007

(July 1, 2006 - June 30, 2007)

## Overview of Expenditures and Cost per Case

The Department of Public Advocacy (DPA) is an independent state agency within the Kentucky Cabinet for Justice and Public Safety. DPA has a unique position in the Cabinet because of its role within the criminal justice system. In order to protect its independence, the Department of Public Advocacy operates under a Memorandum of Agreement with the Cabinet (see KRS 31.010). This MOA allows DPA professional independence and attaches DPA to the Cabinet for administrative purposes only.

The Department of Public Advocacy is funded primarily by the Kentucky General Fund. In FY 2007, the legislature allocated DPA \$29,770,700 in general funds. In addition to general funds, the Kentucky DPA derives revenue from three other sources. By statute, the Department of Public Advocacy receives \$62.50 for each DUI conviction, 3.5% of court costs (capped at \$1.75 million), and partial fees. (Partial fees refer to costs assigned to indigent defendants represented by DPA when it is determined that the client can pay a minimal fee while still not being able to afford a private attorney.) Because of the unpredictability of revenue funds, the funding and expenditures for the Department of Public Advocacy do not always equal a zero balance at the end of a given fiscal year. FY 2007 was no exception to this phenomenon. In 2007, DPA revenue went down as a result in a decline in court costs.

The Department of Public Advocacy also has a unique relationship with several offices. Jefferson County and Fayette County have non-profit entities operating as the public defender provider for those counties. (It should be noted that as of August 1, 2007, Fayette County Legal Aid is no longer responsible for the delivery of public defender services in Fayette County. In FY 2007, services in Fayette County will be delivered by the DPA's Lexington Public Defender's Office.) These two offices operate within the statewide public defender system administered by DPA. The Kentucky DPA supplements their budgets with two sources of funding. Each of these offices have contracts with the DPA where DPA sends the office an allocated portion of DPA general funds on an annual basis. In addition to the general funds, DPA serves as the administrative agent to recover and distribute partial fees earned by these offices. Both of these areas show as expenditures in the DPA annual budget. Finally, each of the offices receives funding from their county governments.

The following section on FY 2007 Department of Public Advocacy concerns selected expenditures from the DPA budget. As a state agency, the DPA annual financial statement is included and reviewed by the Consolidated Annual Financial Report (CAFA).

## Overview of Expenditures and Costs Per Case

	<u>Expenditures</u>	<u>Cases</u>	<u>Cost per Case</u>
<b>Trial Division:</b>			
Full-time DPA Offices (Expenditures & Cost Per Case):	\$ 22,374,704	102,398	\$ 218.51
Capital Trial Branch:	\$ 859,884	25	\$ 34,395.36
Louisville-Metro <sup>1</sup> :	\$ 6,014,661	33,446	\$ 179.83
Fayette <sup>1</sup> :	\$ 1,225,972	10,423	\$ 117.62
<b>Total for Trial Division*</b>	<b>\$ 30,475,221</b>	<b>146,292</b>	<b>\$ 208.32</b>

\* Includes administrative costs for Trial Division Director.

### Post Trial Division:

Appeals:	\$ 1,924,812	466	\$ 4,130.50
Juvenile Post Disposition Branch:	\$ 861,448	1,289	\$ 668.31
Post Conviction:	\$ 1,899,179	471	\$ 4,032.23
<b>Total for Post Trial Division*</b>	<b>\$ 4,883,963</b>	<b>2,226</b>	<b>\$ 2,194.05</b>

\* Includes administrative costs for Post Trial Division Director.

### Grand Totals

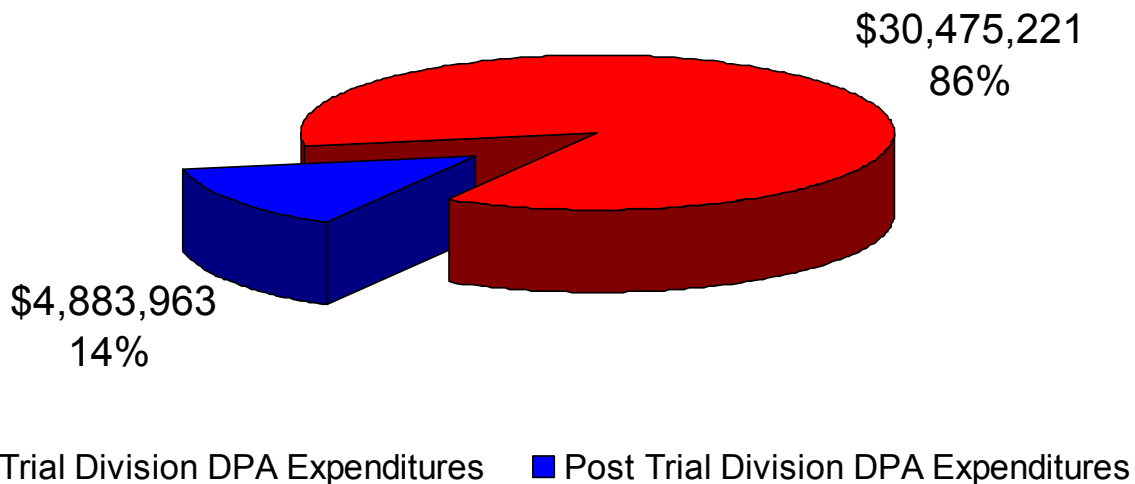
Actual Costs:	\$ 37,747,522
Number of Cases:	148,518
Costs Per Case:	\$ 254.16

<sup>1</sup> Includes local contributions from Louisville-Metro and Lexington-Fayette Co. These are budgeted numbers - not actual expenses.

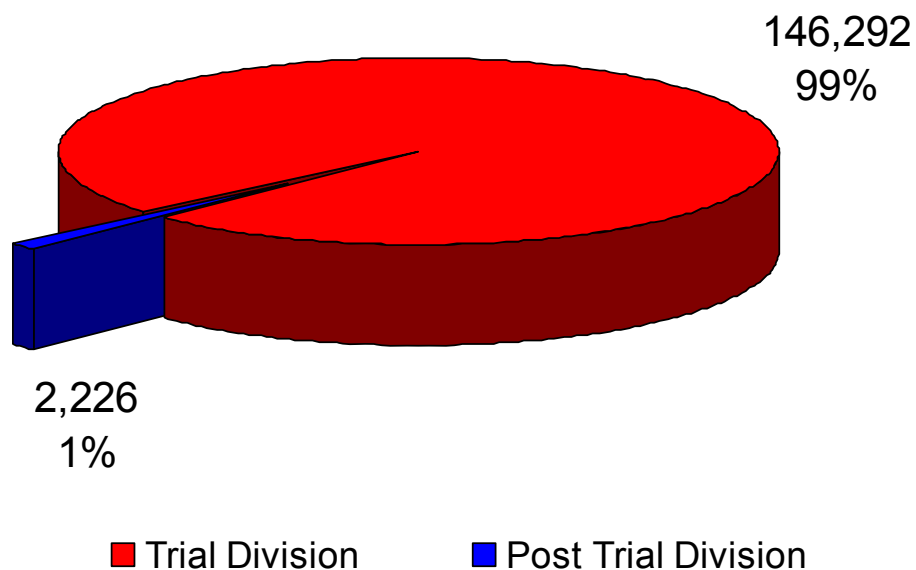
<sup>2</sup> Includes Administrative Operations costs from both the Law Operations Division and the Office of the Public Advocate.

## Comparison of Caseload vs. Expenditures for Department of Public Advocacy

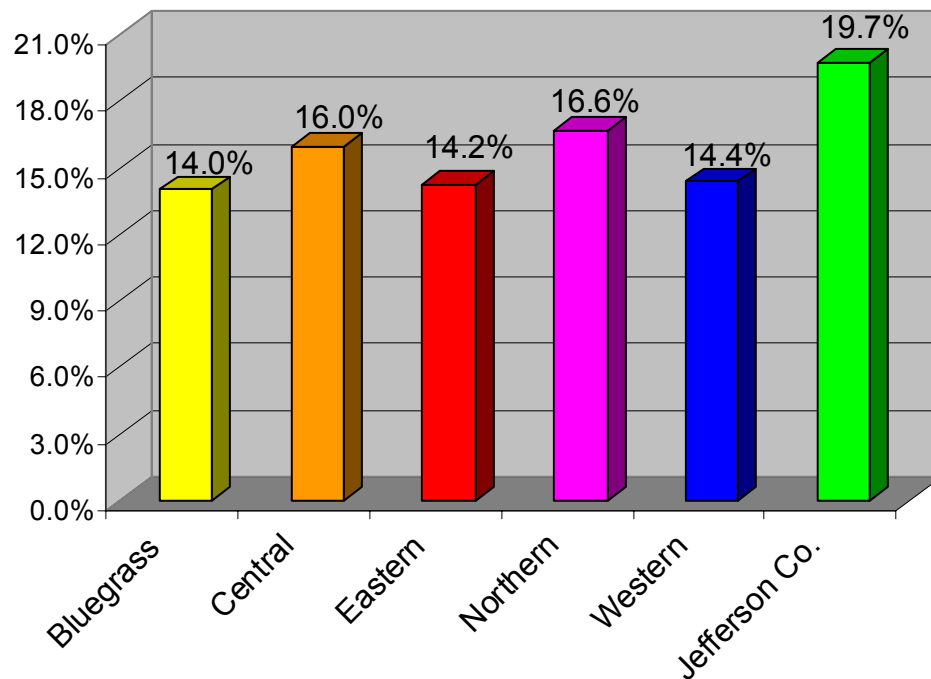
### Department of Public Advocacy Expenditures by Division - FY 2007



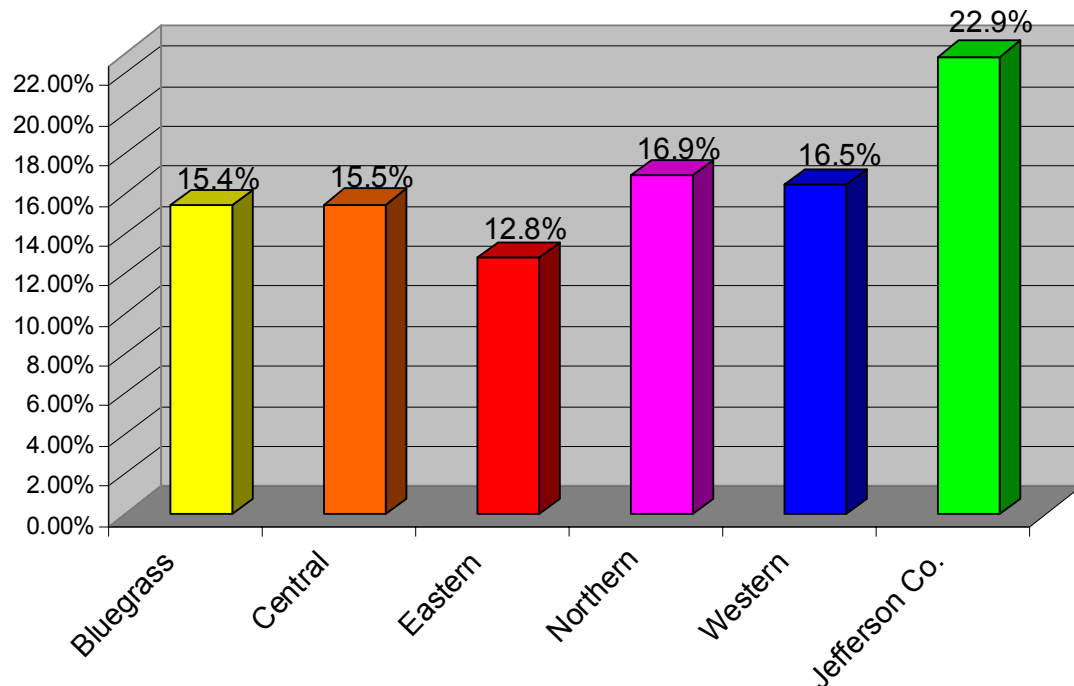
### Department of Public Advocacy Caseload by Division - FY 2007



## DPA Trial Regions FY 2007 Total Cost Comparison



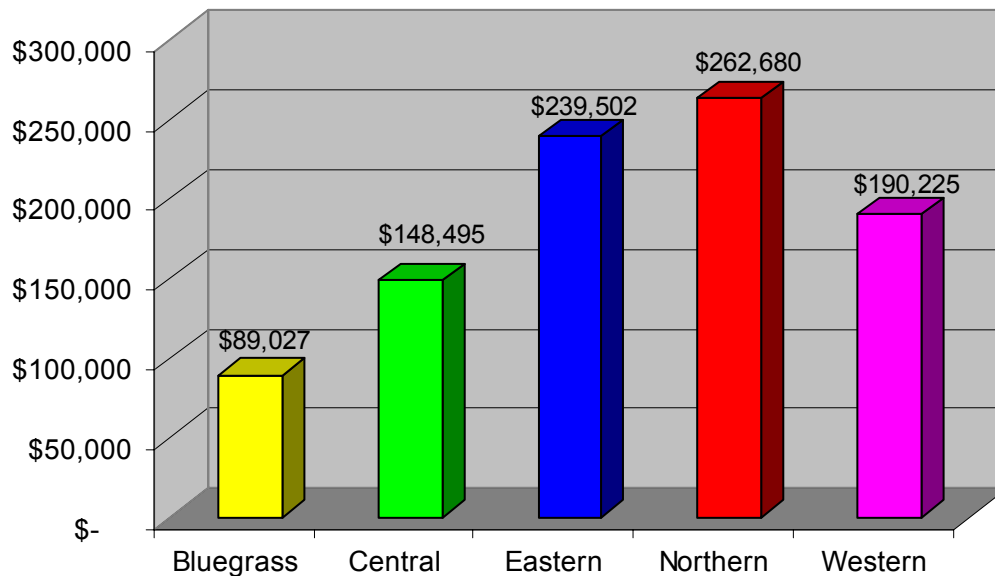
## DPA Trial Regions FY 2007 Total Caseload Comparison



## FY 2007 Conflict Case Costs for DPA Trial Division

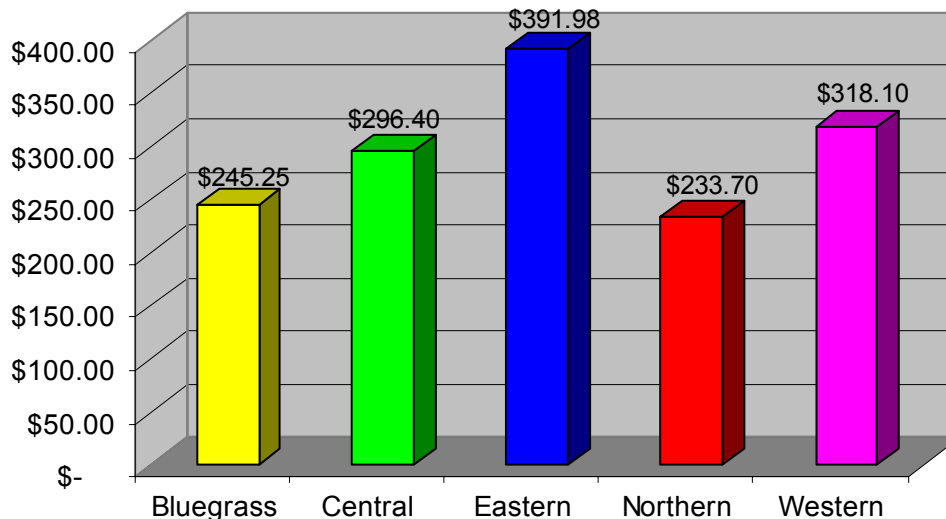
The Trial Division typically spends a significant amount of money on conflict cases. Conflict representation generally occurs when there are multiple defendants involved in a specific incident. A single DPA office can only represent one of that set of defendants because of attorney ethical rules unless there is a waiver of the conflict. The other indigent clients from that same incident must either be represented by other DPA offices or by outside counsel. DPA has seen the number of conflict cases rise in recent years.

**Conflict Case Expenditures per Trial Region  
FY 2007**



\* Due to budget constraints in May and June, conflict contract payments were held until after July 1, 2007, causing FY 07 totals to appear lower than the actual cost

**Average Cost per Conflict Case per Trial Region  
FY 2007**



\* Due to budget constraints in May and June, conflict contract payments were held until after July 1, 2007, causing FY 07 totals to appear lower than the actual cost

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# TRIAL DIVISION CASELOAD DATA

**FY 2007**  
**(July 1, 2006 - June 30, 2007)**

## Overview of FY 2007 Trial Case Numbers

The case numbers for the Trial Division rose for the 8<sup>th</sup> straight year in FY 2007. The Trial Division handled 146,292 cases in FY 2007, the highest in DPA's history. This is an increase of 6% over FY 2006. The Trial Division also operated 30 field offices for standard trial work and one (1) field office for capital work. The Trial Division operated full-time offices in all of Kentucky's 120 counties. The addition of the Glasgow and Boyd County Offices realized the DPA goal of covering all counties by the full-time state-wide public defender system. A number of studies have demonstrated that full-time defense offices provide better service and higher quality legal service than where indigent defense is provided by part-time contractors.

The DPA case definition has been in existence for more than 10 years. The general definition of a case is as follows: "a case consists of a single accused, having either under the same or different case number(s), one or more charges, allegations, or proceedings arising out of one event or a group of related contemporaneous events." In most scenarios, a case counted by DPA equates on a one-to-one basis with a case number provided by the Administrative Office of the Courts (AOC). In certain situations such as Persistent Felony Offenders, Probation Revocation Hearings and other events in addition to the core case, DPA will use an AOC case number more than once. These re-used numbers amount to less than 10% of the DPA total trial caseload in FY 2007. Additionally, there are situations where DPA will count multiple AOC numbers as a single DPA case. In situations such as bad checks where there may be multiple AOC numbers arising out of the contemporaneous event, DPA staff is instructed to only count the multiple AOC numbers as a single DPA case based on the event. There are no statistics for the percentage of the caseload for this latter phenomenon.



## Statement of Definitions: Trial Cases and Case Counting Methods

**Total cases** listed for a branch, division, or DPA as a whole are only those cases that were *opened* during the fiscal year being reported. The totals do not include the numerous, ongoing cases handled by DPA that were opened in previous fiscal years.

DPA has extensive protocols for the application of case definitions and case counting methods that take into account the unique differences among circuit and district court cases, juvenile and adult cases, etc. These definitions were developed in concert with staff input, KRS requirements, and commonly accepted statistical methodology. Consistency of application is insured through the use of the agency's case management system, an in-house database.

Throughout this document, the following definitions and methods of case counting are used consistently.

### Trial Division Cases

A **case** consists of a single accused, having either under the same or different case number(s), one or more charges, allegations, or proceedings arising out of one event or a group of related contemporaneous events. These charges must be brought contemporaneously against the defendant, stemming from the same course of conduct, and involving proof of the same facts. Some cases assigned to individual attorneys are conducted, either wholly or in part, outside the confines of state courts (e.g., parole revocation hearings, KRS 31.110 line-ups, interrogations, other pre-charge events, witness representations); however, to be counted as a "case" for Trial Division statistical purposes, a formal appointment by a court with appropriate jurisdiction is required. An individual attorney's actions do not constitute a "case" (for agency statistical purposes) if the activity is brief, strictly routine (e.g., standing in for arraignment purposes at a regularly scheduled motion hour, responding to inmate correspondence), and performed as a courtesy to the court.

In addition to adhering to the general agency definition of a "case," to be counted as a **capital eligible case**, an accused must be charged with at least one count of kidnapping or murder, with a qualifying KRS aggravator identified. The number of attorneys assigned to the case has no bearing on the agency's counting of capital cases, and, because cases must be entered and categorized upon assignment, the agency does not require prior receipt of notice from the Commonwealth's Attorney that the death penalty will be sought.

### 30 TRIAL FIELD OFFICES - FY 2007 CASELOAD - AVERAGE CASELOAD PER ATTORNEY

OFFICE	ATTYs*	FY 06 Cases	FY06 Conflict Cases	Conflict % of Total Cases	FY 06 AVG cases/atty	FY 07 Cases	*FY07 Conflict Cases	Conflict % of Total Cases	% change in total caseload**	FY 07 Attorney Average Caseload
Lexington	16	7,968	0	0.00%	442.7	10,423	0	0.00%	30.81%	651.4
Louisville	62	32,049	0	0.00%	604.7	33,446	0	0.00%	4.36%	539.5
Boone County	10	4,694	188	4.01%	563.3	5,469	180	3.29%	16.51%	528.9
Covington	15	6,723	305	4.54%	493.7	7,770	302	3.89%	15.57%	497.9
Hopkinsville	14	6,405	225	3.51%	561.8	6,901	157	2.28%	7.74%	481.7
Boyd County	7	1,863	67	3.60%	256.6	3,382	163	4.82%	81.54%	459.9
Richmond	10	4,041	181	4.48%	428.9	4,678	161	3.44%	15.76%	451.7
Bell County	6	2,595	32	1.23%	512.6	2,726	46	1.69%	5.05%	446.7
Elizabethtown	13	5,527	47	0.85%	456.7	5,601	52	0.93%	1.34%	426.8
Stanton	6	2,552	103	4.04%	408.2	2,601	68	2.61%	1.92%	422.2
Bowling Green	10	4,030	128	3.18%	433.6	4,357	144	3.31%	8.11%	421.3
Danville	9	3,553	102	2.87%	493.0	3,719	34	0.91%	4.67%	409.4
LaGrange	5	2,068	45	2.18%	404.6	2,113	74	3.50%	2.18%	407.8
Henderson	8	3,046	72	2.36%	424.9	3,363	111	3.30%	10.41%	406.5
Bullitt County	7	2,776	118	4.25%	531.6	2,935	91	3.10%	5.73%	406.3
Morehead	8	3,945	82	2.08%	482.9	3,343	100	2.99%	-15.26%	405.4
Murray	9	3,846	146	3.80%	528.6	3,655	157	4.30%	-4.97%	388.7
Paducah	14	5,662	123	2.17%	461.6	5,559	119	2.14%	-1.82%	388.6
London	11	4,600	210	4.57%	487.8	4,478	223	4.98%	-2.65%	386.8
Paintsville	4	1,532	67	4.37%	366.3	1,598	60	3.75%	4.31%	384.5
Pikeville	7	2,662	58	2.18%	434.0	2,781	97	3.49%	4.47%	383.4
Maysville	5	2,168	153	7.06%	403.0	2,041	157	7.69%	-5.86%	376.8
Somerset	8	2,871	186	6.48%	383.6	3,075	100	3.25%	7.11%	371.9
Columbia	8	2,888	44	1.52%	406.3	3,015	101	3.35%	4.40%	364.3
Frankfort	8	2,895	47	1.62%	474.7	2,952	78	2.64%	1.97%	359.3
Hazard	10	4,317	111	2.57%	467.3	3,637	85	2.34%	-15.75%	355.2
Cynthiana	6	2,038	120	5.89%	383.6	2,242	170	7.58%	10.01%	345.3
Madisonville	7	2,623	42	1.60%	430.2	2,466	54	2.19%	-5.99%	344.6
Owensboro	12	4,822	77	1.60%	474.5	4,197	73	1.74%	-12.96%	343.7
Glasgow	5	1,150	15	0	227	1,744	40	2.29%	51.65%	340.8
Capital Trial Br.	8	14	0	0.00%	2.0	25	0	0.00%	78.57%	N/A
<b>TOTAL</b>	<b>328</b>	<b>137,923</b>	<b>3,094</b>	<b>2.24%</b>	<b>468.2</b>	<b>146,292</b>	<b>3,197</b>	<b>2.19%</b>	<b>6.07%</b>	<b>436.3</b>

# 30 TRIAL FIELD OFFICES - FY 2007 CASELOAD - AVERAGE CASELOAD PER ATTORNEY

16

OFFICE	ATTYS*	FY 06 Cases	FY06 Conflict Cases	Conflict % of Total Cases	FY 06 AVG cases/ atty	FY 07 Cases	*FY07 Conflict Cases	Conflict % of Total Cases	% change in total caseload* *	FY 07 Attorney Average Caseload
Bell County	6	2,595	32	1.23%	512.6	2,726	46	1.69%	5.05%	446.7
Boone County	10	4,694	188	4.01%	563.3	5,469	180	3.29%	16.51%	528.9
Bowling Green	10	4,030	128	3.18%	433.6	4,357	144	3.31%	8.11%	421.3
Boyd County	7	1,863	67	3.60%	256.6	3,382	163	4.82%	81.54%	459.9
Bullitt County	7	2,776	118	4.25%	531.6	2,935	91	3.10%	5.73%	406.3
Capital Trial Br.	8	14	0	0.00%	2.0	25	0	0.00%	78.57%	N/A
Columbia	8	2,888	44	1.52%	406.3	3,015	101	3.35%	4.40%	364.3
Covington	15	6,723	305	4.54%	493.7	7,770	302	3.89%	15.57%	497.9
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Danville	9	3,553	102	2.87%	493.0	3,719	34	0.91%	4.67%	409.4
Elizabethtown	13	5,527	47	0.85%	456.7	5,601	52	0.93%	1.34%	426.8
Frankfort	8	2,895	47	1.62%	474.7	2,952	78	2.64%	1.97%	359.3
Glasgow	5	1,150	15	0	227	1,744	40	2.29%	51.65%	340.8
Hazard	10	4,317	111	2.57%	467.3	3,637	85	2.34%	-15.75%	355.2
Henderson	8	3,046	72	2.36%	424.9	3,363	111	3.30%	10.41%	406.5
Hopkinsville	14	6,405	225	3.51%	561.8	6,901	157	2.28%	7.74%	481.7
LaGrange	5	2,068	45	2.18%	404.6	2,113	74	3.50%	2.18%	407.8
Lexington	16	7,968	0	0.00%	442.7	10,423	0	0.00%	30.81%	651.4
London	11	4,600	210	4.57%	487.8	4,478	223	4.98%	-2.65%	386.8
Louisville	62	32,049	0	0.00%	604.7	33,446	0	0.00%	4.36%	539.5
Madisonville	7	2,623	42	1.60%	430.2	2,466	54	2.19%	-5.99%	344.6
Maysville	5	2,168	153	7.06%	403.0	2,041	157	7.69%	-5.86%	376.8
Morehead	8	3,945	82	2.08%	482.9	3,343	100	2.99%	-15.26%	405.4
Murray	9	3,846	146	3.80%	528.6	3,655	157	4.30%	-4.97%	388.7
Owensboro	12	4,822	77	1.60%	474.5	4,197	73	1.74%	-12.96%	343.7
Paducah	14	5,662	123	2.17%	461.6	5,559	119	2.14%	-1.82%	388.6
Paintsville	4	1,532	67	4.37%	366.3	1,598	60	3.75%	4.31%	384.5
Pikeville	7	2,662	58	2.18%	434.0	2,781	97	3.49%	4.47%	383.4
Richmond	10	4,041	181	4.48%	428.9	4,678	161	3.44%	15.76%	451.7
Somerset	8	2,871	186	6.48%	383.6	3,075	100	3.25%	7.11%	371.9
Stanton	6	2,552	103	4.04%	408.2	2,601	68	2.61%	1.92%	422.2
<b>TOTAL</b>	<b>328</b>	<b>137,923</b>	<b>3,094</b>	<b>2.24%</b>	<b>468.2</b>	<b>146,292</b>	<b>3,197</b>	<b>2.19%</b>	<b>6.07%</b>	<b>436.3</b>

## Trial Case Openings by Court Type Broken Down by County

County	Circuit	Circuit %	District	District %	Family	Family %	Other	Other %	Total
ADAIR	162	33.96%	315	66.04%	0	0.00%	0	0%	477
ALLEN	100	44.25%	126	55.75%	0	0.00%	0	0%	226
ANDERSON	111	26.43%	309	73.57%	0	0.00%	0	0%	420
BALLARD	94	35.88%	167	63.74%	0	0.00%	1	0%	262
BARREN	555	49.20%	537	47.61%	35	3.10%	1	0%	1,128
BATH	74	18.36%	329	81.64%	0	0.00%	0	0%	403
BELL	441	25.37%	1297	74.63%	0	0.00%	0	0%	1,738
BOONE	1143	32.23%	2398	67.63%	2	0.06%	3	0%	3,546
BOURBON	109	13.26%	712	86.62%	0	0.00%	1	0%	822
BOYD	383	28.48%	962	71.52%	0	0.00%	0	0%	1,345
BOYLE	149	16.57%	735	81.76%	14	1.56%	1	0%	899
BRACKEN	57	18.87%	245	81.13%	0	0.00%	0	0%	302
BREATHITT	138	33.99%	268	66.01%	0	0.00%	0	0%	406
BRECKINRIDGE	168	38.27%	269	61.28%	0	0.00%	2	0%	439
BULLITT	375	19.40%	1501	77.65%	57	2.95%	0	0%	1,933
BUTLER	64	50.00%	63	49.22%	0	0.00%	1	1%	128
CALDWELL	76	22.55%	261	77.45%	0	0.00%	0	0%	337
CALLOWAY	289	33.22%	581	66.78%	0	0.00%	0	0%	870
CAMPBELL	1049	37.42%	1635	58.33%	113	4.03%	6	0%	2,803
CARLISLE	41	33.06%	83	66.94%	0	0.00%	0	0%	124
CARROLL	173	27.68%	452	72.32%	0	0.00%	0	0%	625
CARTER	212	22.75%	701	75.21%	19	2.04%	0	0%	932
CASEY	121	34.77%	227	65.23%	0	0.00%	0	0%	348
CHRISTIAN	805	15.56%	4350	84.06%	19	0.37%	1	0%	5,175
CLARK	317	24.54%	917	70.98%	58	4.49%	0	0%	1,292
CLAY	132	21.53%	480	78.30%	1	0.16%	0	0%	613
CLINTON	180	47.87%	196	52.13%	0	0.00%	0	0%	376
CRITTENDEN	85	31.95%	181	68.05%	0	0.00%	0	0%	266
CUMBERLAND	101	37.00%	172	63.00%	0	0.00%	0	0%	273
DAVIESS	558	17.87%	2561	82.00%	0	0.00%	4	0%	3,123
EDMONSON	46	48.94%	48	51.06%	0	0.00%	0	0%	94
ELLIOTT	38	19.59%	156	80.41%	0	0.00%	0	0%	194
ESTILL	156	29.55%	372	70.45%	0	0.00%	0	0%	528
FAYETTE	1,783	17.11%	7711	73.98%	929	8.91%	0	0%	10,423
FLEMING	78	18.18%	351	81.82%	0	0.00%	0	0%	429
FLOYD	176	15.62%	920	81.63%	31	2.75%	0	0%	1,127
FRANKLIN	368	31.40%	804	68.60%	0	0.00%	0	0%	1,172

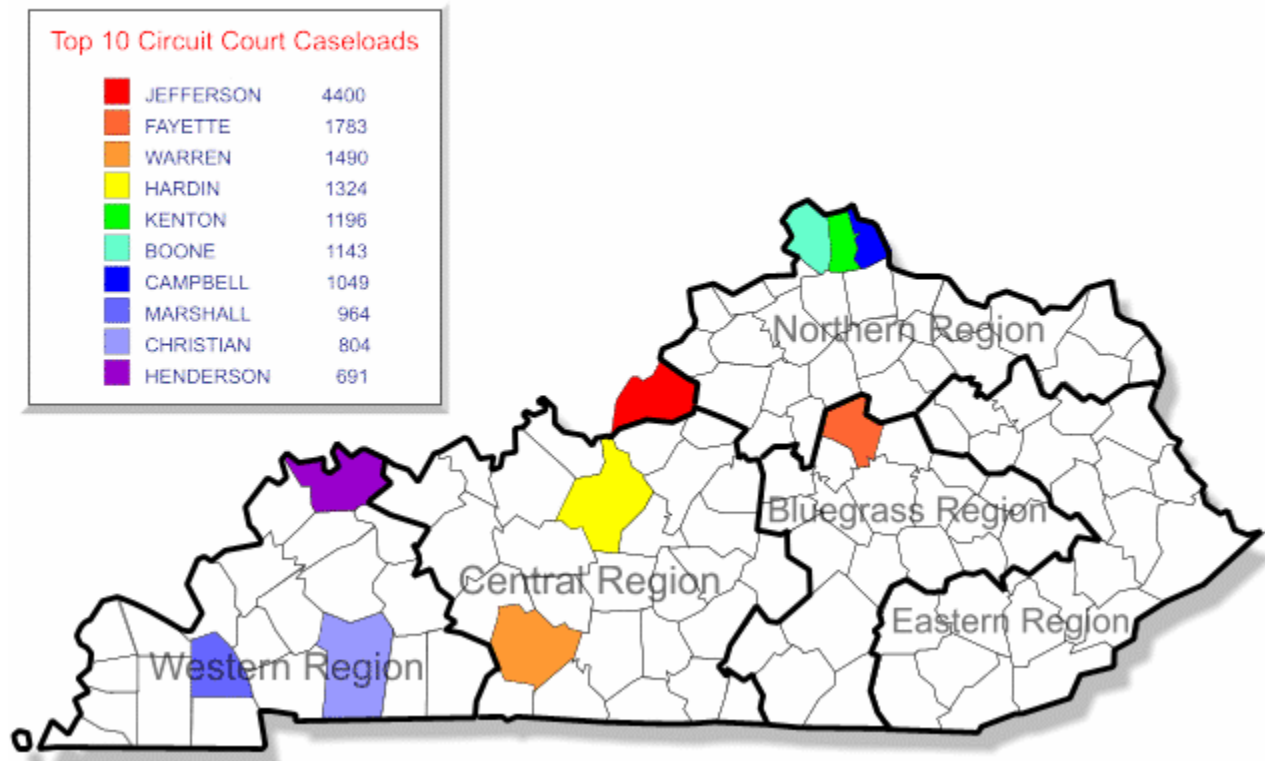
County	Circuit	Circuit %	District	District %	Family	Family %	Other	Other %	Total
FULTON	102	34.93%	189	64.73%	0	0.00%	1	0%	292
GALLATIN	48	14.72%	277	84.97%	0	0.00%	1	0%	326
GARRARD	82	20.92%	304	77.55%	6	1.53%	0	0%	392
GRANT	179	25.46%	524	74.54%	0	0.00%	0	0%	703
GRAVES	384	20.06%	1530	79.94%	0	0.00%	0	0%	1,914
GRAYSON	216	53.60%	180	44.67%	4	0.99%	3	1%	403
GREEN	74	41.57%	104	58.43%	0	0.00%	0	0%	178
GREENUP	235	35.66%	420	63.73%	4	0.61%	0	0%	659
HANCOCK	45	28.85%	109	69.87%	2	1.28%	0	0%	156
HARDIN	1324	33.73%	2547	64.89%	43	1.10%	11	0%	3,925
HARLAN	219	22.17%	769	77.83%	0	0.00%	0	0%	988
HARRISON	67	11.04%	539	88.80%	1	0.16%	0	0%	607
HART	178	36.63%	308	63.37%	0	0.00%	0	0%	486
HENDERSON	691	32.46%	1438	67.54%	0	0.00%	0	0%	2,129
HENRY	109	22.47%	370	76.29%	6	1.24%	0	0%	485
HICKMAN	24	21.24%	87	76.99%	0	0.00%	2	2%	113
HOPKINS	462	28.66%	1147	71.15%	1	0.06%	2	0%	1,612
JACKSON	55	23.71%	177	76.29%	0	0.00%	0	0%	232
JEFFERSON	4400	13.16%	29046	86.84%	0	0.00%	0	0%	33,446
JESSAMINE	280	21.49%	1002	76.90%	21	1.61%	0	0%	1,303
JOHNSON	106	18.50%	463	80.80%	4	0.70%	0	0%	573
KENTON	1196	24.11%	3621	72.99%	137	2.76%	7	0%	4,961
KNOTT	44	12.57%	306	87.43%	0	0.00%	0	0%	350
KNOX	216	19.51%	842	76.06%	49	4.43%	0	0%	1,107
LARUE	90	25.14%	267	74.58%	0	0.00%	1	0%	358
LAUREL	360	21.70%	1220	73.54%	79	4.76%	0	0%	1,659
LAWRENCE	55	14.29%	329	85.45%	1	0.26%	0	0%	385
LEE	86	25.15%	256	74.85%	0	0.00%	0	0%	342
LESLIE	18	9.47%	172	90.53%	0	0.00%	0	0%	190
LETCHER	249	21.82%	892	78.18%	0	0.00%	0	0%	1,141
LEWIS	158	35.03%	273	60.53%	20	4.43%	0	0%	451
LINCOLN	80	17.17%	377	80.90%	9	1.93%	0	0%	466
LIVINGSTON	59	23.98%	187	76.02%	0	0.00%	0	0%	246
LOGAN	177	30.20%	409	69.80%	0	0.00%	0	0%	586
LYON	56	22.95%	185	75.82%	3	1.23%	0	0%	244
MCCRACKEN	670	25.22%	1834	69.03%	151	5.68%	2	0%	2,657
MCCREARY	80	21.33%	295	78.67%	0	0.00%	0	0%	375
MCLEAN	203	40.93%	293	59.07%	0	0.00%	0	0%	496
MADISON	228	25.91%	651	73.98%	0	0.00%	1	0%	880
MAGOFFIN	71	25.00%	208	73.24%	5	1.76%	0	0%	284

County	Circuit	Circuit %	District	District %	Family	Family %	Other	Other %	Total
MARION	268	20.44%	1043	79.56%	0	0.00%	0	0%	1,311
MARSHALL	964	21.39%	3504	77.75%	29	0.64%	10	0%	4,507
MARTIN	202	38.19%	327	61.81%	0	0.00%	0	0%	529
MASON	51	36.17%	90	63.83%	0	0.00%	0	0%	141
MEADE	214	48.97%	222	50.80%	0	0.00%	1	0%	437
MENIFEE	79	36.57%	137	63.43%	0	0.00%	0	0%	216
MERCER	96	14.93%	519	80.72%	28	4.35%	0	0%	643
METCALFE	82	34.60%	153	64.56%	2	0.84%	0	0%	237
MONROE	99	28.21%	252	71.79%	0	0.00%	0	0%	351
MONTGOMERY	323	34.58%	611	65.42%	0	0.00%	0	0%	934
MORGAN	73	20.98%	275	79.02%	0	0.00%	0	0%	348
MUHLENBERG	302	42.12%	415	57.88%	0	0.00%	0	0%	717
NELSON	327	40.57%	479	59.43%	0	0.00%	0	0%	806
NICHOLAS	63	22.11%	219	76.84%	1	0.35%	2	1%	285
OHIO	173	35.16%	319	64.84%	0	0.00%	0	0%	492
OLDHAM	89	17.80%	394	78.80%	17	3.40%	0	0%	500
OWEN	79	29.04%	193	70.96%	0	0.00%	0	0%	272
OWSLEY	34	15.04%	192	84.96%	0	0.00%	0	0%	226
PENDLETON	44	10.05%	393	89.73%	1	0.23%	0	0%	438
PERRY	404	18.88%	1736	81.12%	0	0.00%	0	0%	2,140
PIKE	277	16.74%	1332	80.48%	46	2.78%	0	0%	1,655
POWELL	186	22.25%	650	77.75%	0	0.00%	0	0%	836
PULASKI	532	37.60%	883	62.40%	0	0.00%	0	0%	1,415
ROBERTSON	14	14.43%	83	85.57%	0	0.00%	0	0%	97
ROCKCASTLE	160	31.07%	351	68.16%	4	0.78%	0	0%	515
ROWAN	249	19.97%	997	79.95%	0	0.00%	1	0%	1,247
RUSSELL	127	23.09%	423	76.91%	0	0.00%	0	0%	550
SCOTT	198	19.53%	816	80.47%	0	0.00%	0	0%	1,014
SHELBY	259	28.46%	642	70.55%	8	0.88%	1	0%	910
SIMPSON	118	50.00%	118	50.00%	0	0.00%	0	0%	236
SPENCER	40	19.70%	158	77.83%	5	2.46%	0	0%	203
TAYLOR	265	40.15%	395	59.85%	0	0.00%	0	0%	660
TODD	108	35.53%	194	63.82%	2	0.66%	0	0%	304
TRIGG	60	23.17%	196	75.68%	3	1.16%	0	0%	259
TRIMBLE	51	23.29%	164	74.89%	3	1.37%	1	0%	219
UNION	165	29.10%	402	70.90%	0	0.00%	0	0%	567
WARREN	1490	40.74%	2124	58.08%	42	1.15%	1	0%	3,657
WASHINGTON	104	49.06%	108	50.94%	0	0.00%	0	0%	212
WAYNE	223	37.54%	371	62.46%	0	0.00%	0	0%	594
WEBSTER	141	34.31%	268	65.21%	0	0.00%	2	0%	411



County	Circuit	Circuit %	District	District %	Family	Family %	Other	Other %	Total
WHITLEY	159	17.38%	756	82.62%	0	0.00%	0	0%	915
WOLFE	38	14.67%	221	85.33%	0	0.00%	0	0%	259
WOODFORD	103	31.31%	226	68.69%	0	0.00%	0	0%	329
<b>TOTAL</b>	<b>33,316</b>	<b>22.77%</b>	<b>110,890</b>	<b>75.80%</b>	<b>2,015</b>	<b>1.38%</b>	<b>71</b>	<b>0%</b>	<b>146,292</b>

## Counties with Highest Circuit Court Caseloads

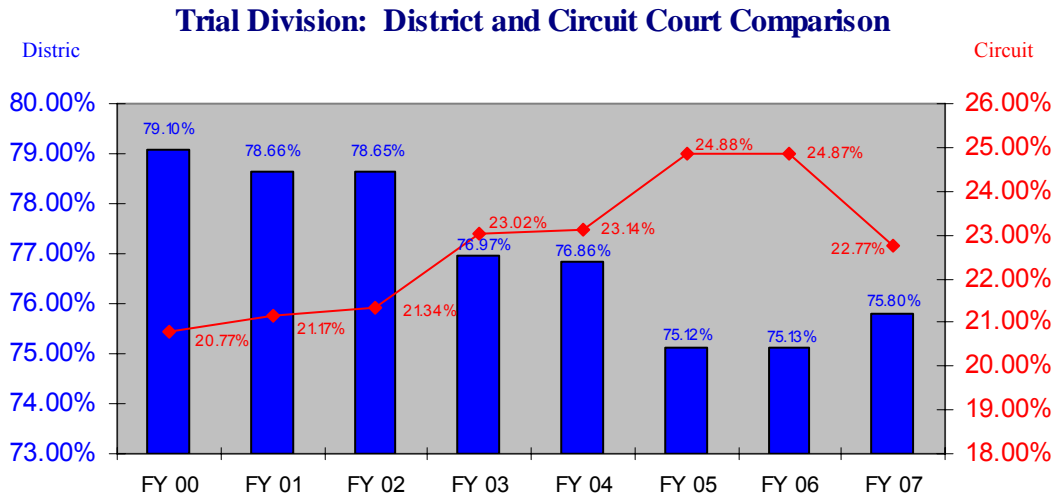




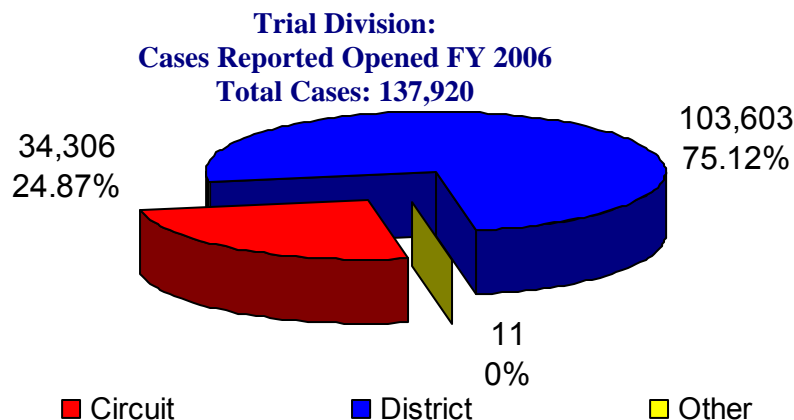
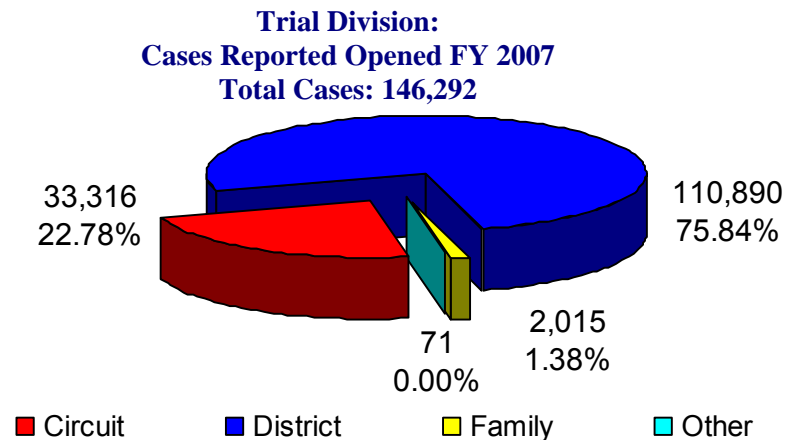
## DPA TRIAL DIVISION CASES REPORTED OPENED IN FY 2007

21

- Of the trial cases 23% were Circuit Court cases, 76% were District Court cases and the remaining 1% were in other courts.
- In FY 07, the percentage of DPA trial cases being opened in Circuit Court decreased slightly due to the addition of Family Court.



The actual number of cases opened in District Court has increased during this same period. It has simply increased at a slower rate than the Circuit Court caseload.

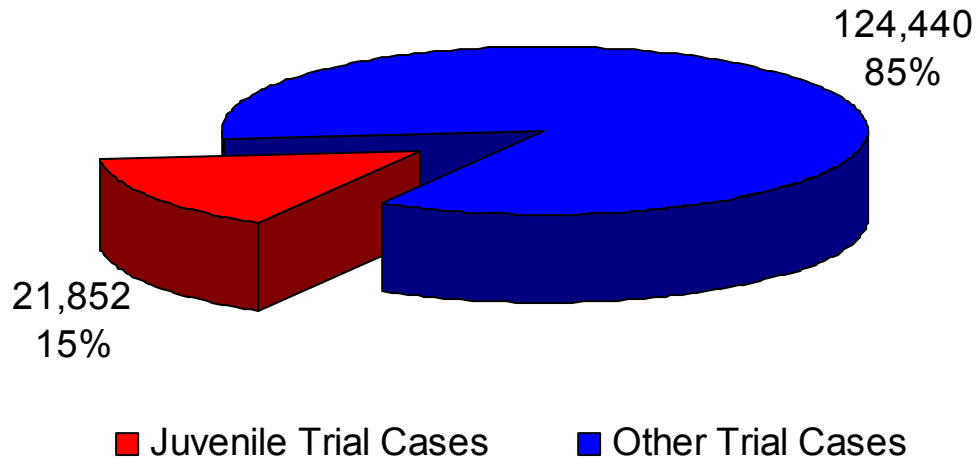


# DPA TRIAL DIVISION JUVENILE CASES REPORTED OPENED FY 2007

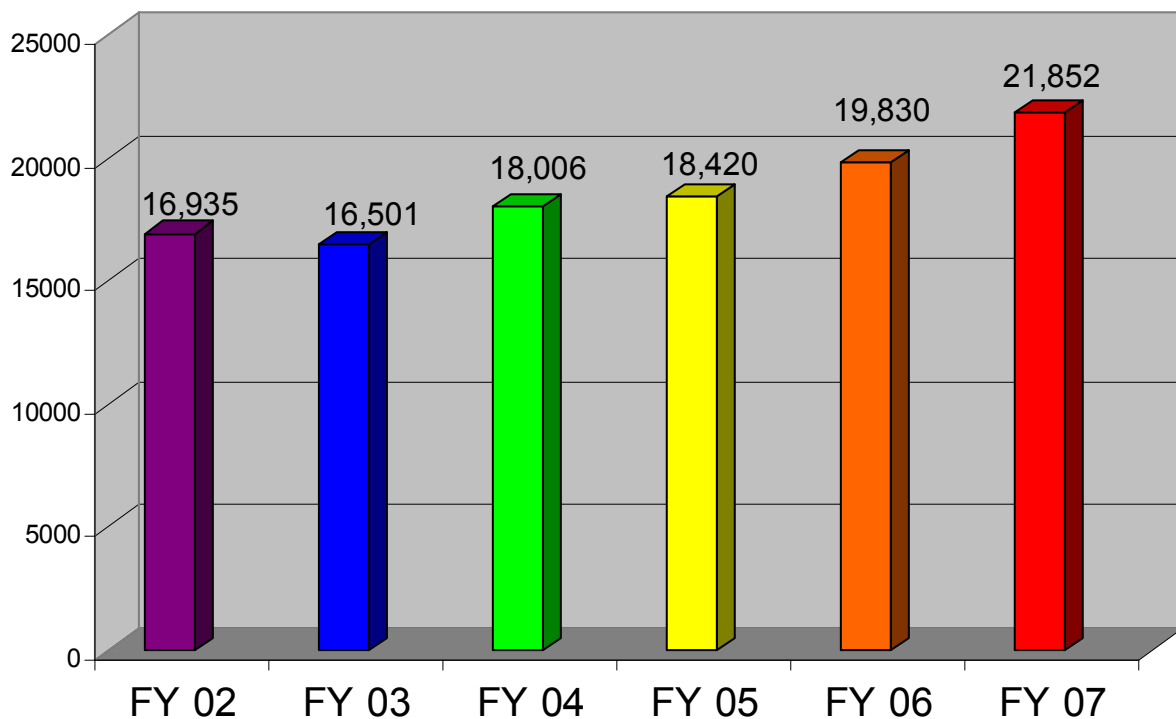
22

- The number of juvenile cases increased by 10% over FY 06. In addition, juvenile cases now represent 15% of the division caseload, up almost 1% over FY 06.

## **Trial Division: Total Cases Reported Opened in FY 2007 Total Cases: 146, 292**



## **Juvenile Trial Cases Fiscal Year Comparison**



FY 2007  
JUVENILE TRIAL CASE OPENINGS BY COUNTY

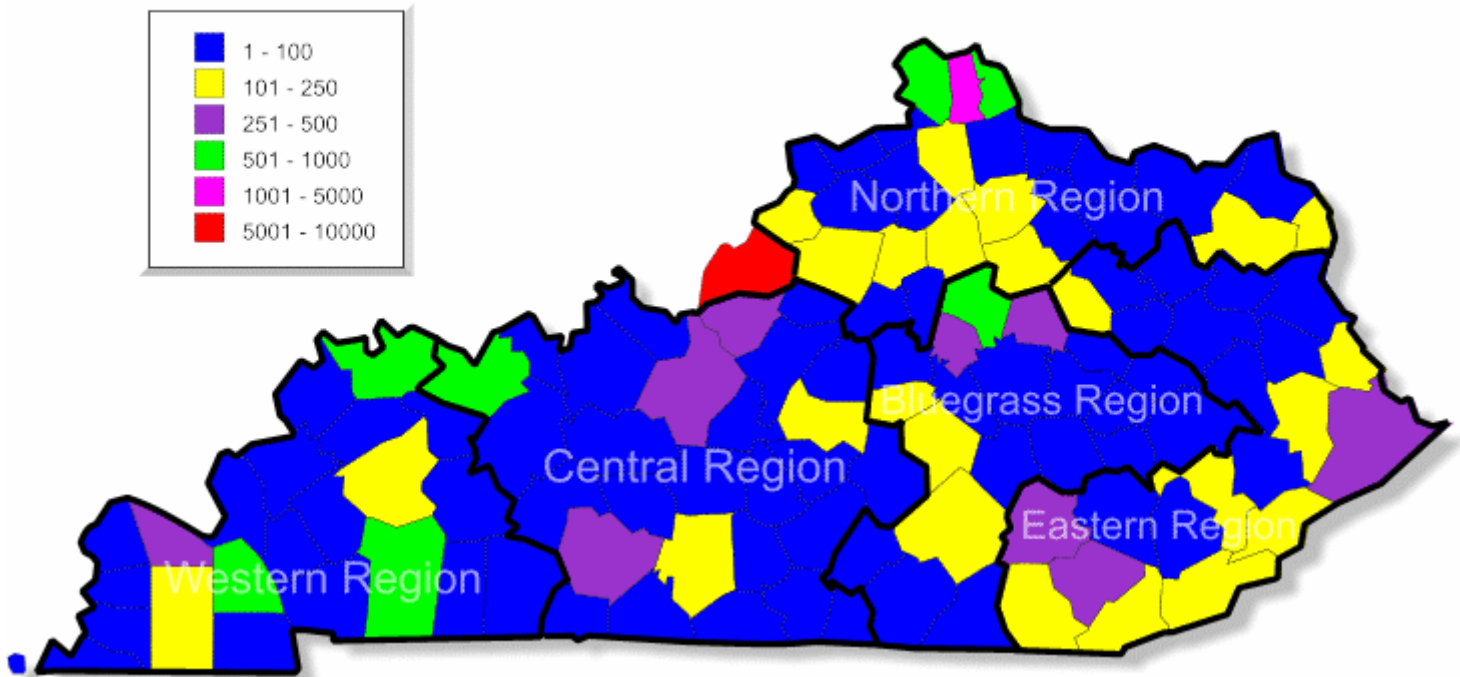
County	Juvenile Cases
ADAIR	89
ALLEN	28
ANDERSON	72
BALLARD	7
BARREN	166
BATH	34
BELL	140
BOONE	641
BOURBON	128
BOYD	228
BOYLE	104
BRACKEN	31
BREATHITT	13
BRECKINRIDGE	33
BULLITT	354
BUTLER	13
CALDWELL	38
CALLOWAY	96
CAMPBELL	811
CARLISLE	12
CARROLL	49
CARTER	117
CASEY	73
CHRISTIAN	968
CLARK	257
CLAY	30
CLINTON	31
CRITTENDEN	20
CUMBERLAND	16
DAVISS	701
EDMONSON	11
ELLIOTT	12
ESTILL	55
FAYETTE	752
FLEMING	32

County	Juvenile Cases
FLOYD	135
FRANKLIN	179
FULTON	34
GALLATIN	56
GARRARD	72
GRANT	104
GRAVES	146
GRAYSON	71
GREEN	27
GREENUP	54
HANCOCK	16
HARDIN	491
HARLAN	182
HARRISON	124
HART	23
HENDERSON	533
HENRY	76
HICKMAN	28
HOPKINS	175
JACKSON	18
JEFFERSON	5204
JESSAMINE	303
JOHNSON	55
KENTON	1275
KNOTT	59
KNOX	305
LARUE	41
LAUREL	450
LAWRENCE	45
LEE	24
LESLIE	12
LETCHER	219
LEWIS	57
LINCOLN	101
LIVINGSTON	21
LOGAN	82
LYON	36
MCCRACKEN	464
MCCREARY	39
MCLEAN	40

County	Juvenile Cases
MADISON	86
MAGOFFIN	46
MARION	126
MARSHALL	544
MARTIN	164
MASON	4
MEADE	69
MENIFEE	14
MERCER	88
METCALFE	38
MONROE	45
MONTGOMERY	147
MORGAN	47
MUHLENBERG	69
NELSON	75
NICHOLAS	29
OHIO	47
OLDHAM	142
OWEN	25
OWSLEY	22
PENDLETON	96
PERRY	123
PIKE	277
POWELL	78
PULASKI	156
ROBERTSON	15
ROCKCASTLE	27
ROWAN	79
RUSSELL	56
SCOTT	188
SHELBY	155
SIMPSON	14
SPENCER	50
TAYLOR	66
TODD	45
TRIGG	39
TRIMBLE	30
UNION	64
WARREN	493

County	Juvenile Cases
WASHINGTON	24
WAYNE	60
WEBSTER	40
WHITLEY	216
WOLFE	41
WOODFORD	55
<b>TOTALS:</b>	<b>21,852</b>

## Juvenile Trial Case Openings by County



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# POST TRIAL DIVISION CASELOAD DATA

FY 2007  
(July 1, 2006 - June 30, 2007)

## Post Trial Division Case Notes from FY 2007

The Post Trial Division handled 2,226 cases in FY 2007. This represented a 1.7% increase over FY 2006. The Post Trial Division is responsible for fulfilling DPA Chapter 31 obligations for all qualifying adults convicted of a felony offense and for all children and youth on post judgment review of status, public and youthful offender cases. There are three branches within the division—Appeals, Juvenile Post Disposition and Post Conviction.

The Appeals Branch handles direct appeals from felony convictions and final judgments. This caseload includes all capital cases that are on appeal. These appeals are taken to the Kentucky Court of Appeals, the Kentucky Supreme Court and, where appropriate, into federal court and the U.S. Supreme Court for appellate review. Indigency determinations and the decision to appoint counsel are determined by the trial court and reviewed by the appellate courts.

The Juvenile Post Disposition Branch represents children and youth who were found at the trial court level to be status, public or youthful offenders on fact, duration and condition of confinement issues in state and federal court and in administrative hearings.

The Post Conviction Branch represents adult felons on their post conviction cases. As there are nearly 22,000 incarcerated persons in Kentucky prisons and only 9 attorneys in the Post Conviction Branch responsible for non-capital post conviction cases, the agency is not able to provide counsel to all persons in prison in Kentucky on felony convictions in post conviction matters. The Post Conviction Branch is also responsible for all capital post conviction cases. The branch litigates in state and federal court on behalf of their state-sentenced clients. The Branch also includes the Kentucky Innocence Project, a section devoted to investigating cases of actual innocence and partnering with the state's law schools and other graduate programs to fully investigate these cases. FY 2007 placed significant demands on the division as eight capital cases required extensive litigation in state and federal court and the remaining capital cases had significant pleadings due at the state and federal court level. The division also worked to provide training to legal aids in the prisons across the Commonwealth and to update post conviction manuals and forms distributed in all prisons and regional jails.



## Statement of Definitions: Post Trial Cases and Case Counting Methods

**Total cases** listed for a branch, division, or DPA as a whole are only those cases that were *opened* during the fiscal year being reported. The totals do not include the numerous, ongoing cases handled by DPA that were opened in previous fiscal years. This exclusion is particularly important in capital cases and in all felony appeals and post conviction cases, which typically remain open for several years and require that continuing new work be done on those cases.

Throughout this document, the following definitions and methods of case counting are used consistently.

### Post Trial Division Cases

The Post Trial Division has three branches, each of which has a different mission and function. The division has developed a common definition for a case. As with the Trial Division all cases are only counted during the year that the case is opened. The Post Trial Division case counting system records multiple counts tried together at the trial level as a single case for appellate or post conviction purposes. Most post trial cases remain open and require work over several years. All of the cases handled by the Appeals Branch and Post Conviction Branch are felony cases involving prison sentences of from one year to life in prison without opportunity of parole, to the death penalty. The records on appellate and post conviction cases can vary from a one video tape record to a seventy video tape record. A very few courts still use written transcripts. Kentucky is unique in the nation in its reliance upon a video record, the review of which is much more time consuming for the lawyer for appellant who must review the entire pretrial and trial record to prepare an appeal or post conviction action, without opportunity to fast forward or speed read through a record. Across the division, a case is assigned and counted as a case at the following points in the process:

- a. When a direct appeal is received and the case is assigned to counsel to brief
- b. When a post conviction appeal is received and the case is assigned to counsel to brief. These include appeals from RCr 11.42 denials, CR 60.02 denials, state habeas denials, conditional guilty pleas, probation revocations, denials of requests to withdraw guilty pleas, jail credit denials, sentence reduction denials, and Lewis hearing appeals
- c. When a petition for habeas corpus is filed in the federal U.S. District Court
- d. When a final (versus proof) brief is filed on a habeas case in the Sixth Circuit Court of Appeals
- e. When a petition for *writ of certiorari* is granted and briefing is ordered
- f. When a motion for discretionary review is granted and briefing is ordered
- g. Original actions filed and extraordinary writs filed in a circuit court, court of appeals, supreme court, or federal court

- h. Fact, duration or condition of confinement cases pursued on behalf of clients under eighteen years of age who are in the juvenile system. These include inter alia motions to terminate commitment, cases pursued as Section 1983 litigation, ARC hearings, YO sentencing hearings where JPDB lawyers do not enter the case until the sentencing stage as the attorneys for the child in circuit court, supervised placement revocation hearings
- i. State habeas actions filed in circuit courts
- j. RCr 11.42 pleadings filed in circuit courts and juvenile courts
- k. CR 60.02 pleadings filed in circuit courts and juvenile courts
- l. Section 1983 litigation
- m. Clemency petitions on behalf of capital and non-capital clients
- n. Motions filed in post conviction to correct the sentence
- o. Motions filed to reopen cases pursuant to claims of factual innocence
- q. Other appellate and post conviction actions may be filed and if separate court cases, they will be counted in the year such litigation is filed

## APPEALS BRANCH CASELOAD FY 2007

### Cases Assigned

(all cases assigned for briefing, does not include assignments for review under KRS 31.110)

#### Court of Appeals

	1 <sup>st</sup> quarter	2 <sup>nd</sup> quarter	3 <sup>rd</sup> quarter	4 <sup>th</sup> quarter	Total
<b>Total quarter</b>	<b>56</b>	<b>55</b>	<b>56</b>	<b>35</b>	<b>202</b>

#### Supreme Court

	1 <sup>st</sup> quarter	2 <sup>nd</sup> quarter	3 <sup>rd</sup> quarter	4 <sup>th</sup> quarter	Total
<b>Total quarter</b>	<b>20</b>	<b>39</b>	<b>17</b>	<b>25</b>	<b>101</b>

#### Federal Habeas Cases

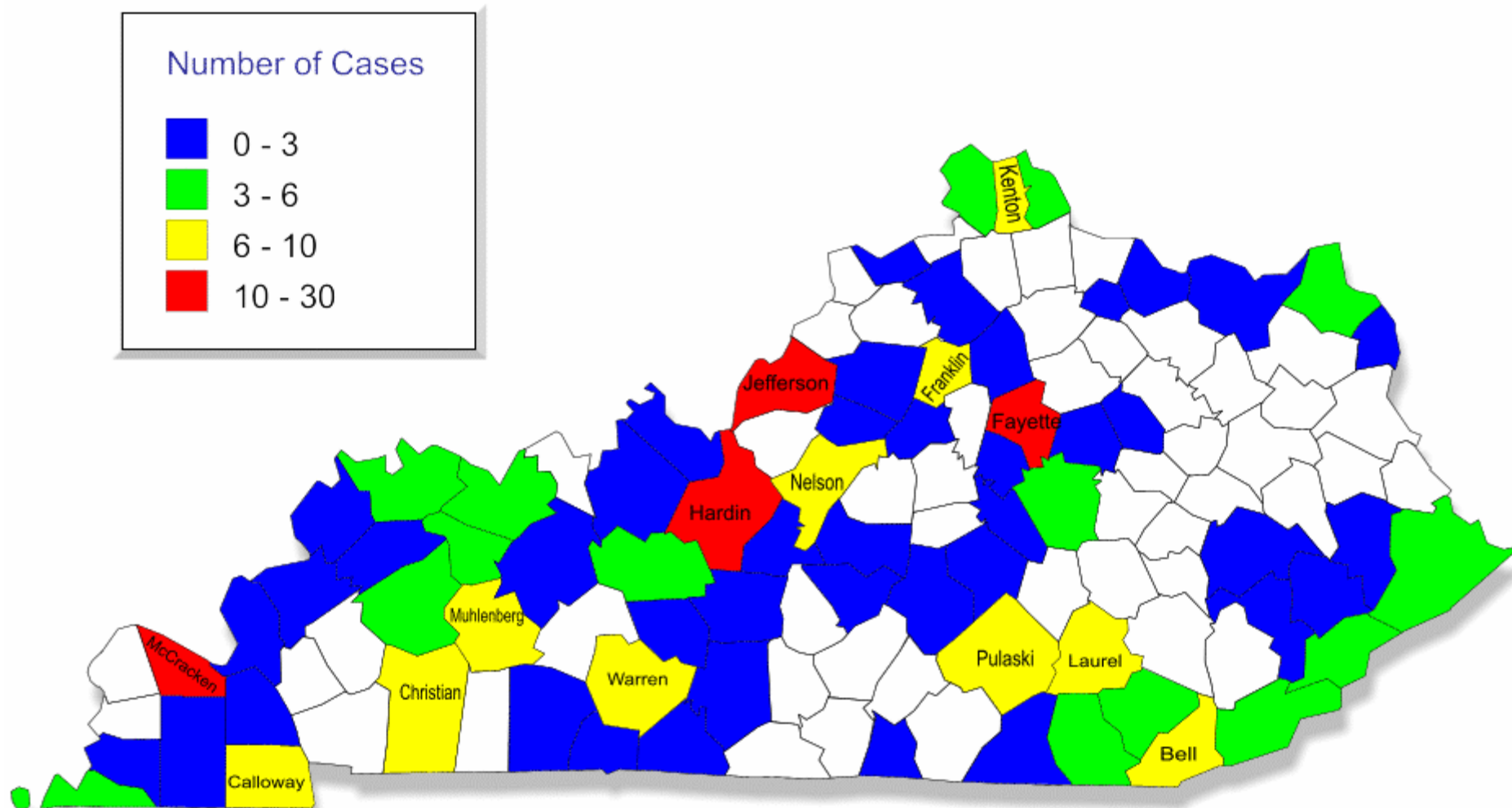
	1 <sup>st</sup> quarter	2 <sup>nd</sup> quarter	3 <sup>rd</sup> quarter	4 <sup>th</sup> quarter	Total
<b>Fed. Dist. Ct</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>6<sup>th</sup> Circuit</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>US Sup Ct</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

	Louisville	Lexington	Total
<b>Cases Assigned</b>	<b>119</b>	<b>44</b>	<b>163</b>

Total Cases Assigned	CA	SC	Fed Habeas Cases	Lexington & Louisville	Total
	202	101	0	163	<b>466</b>

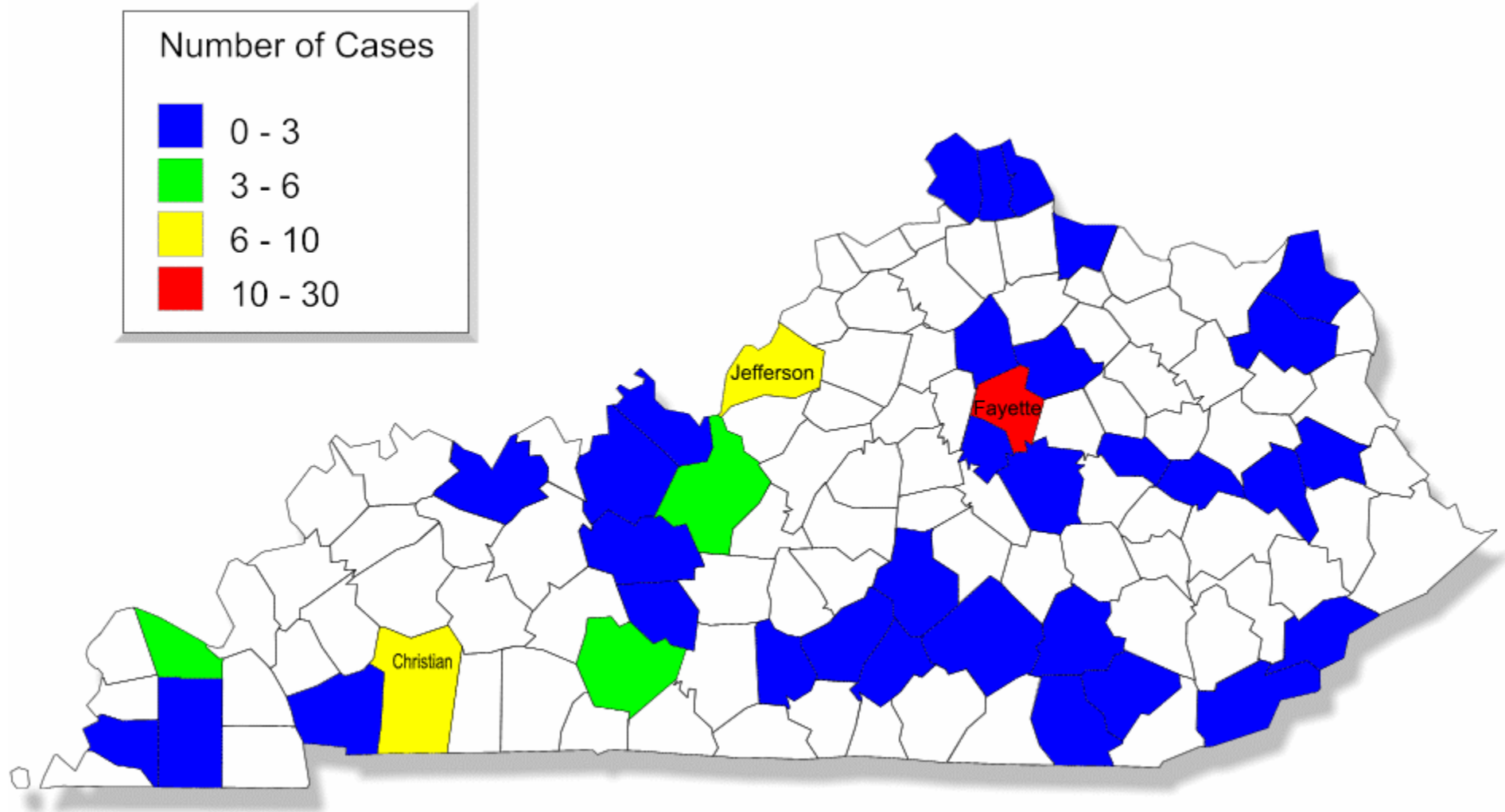
## FY 2007 Appeals Branch Cases Received by County

### Court of Appeals



## FY 2007 Appeals Branch Cases Received by County

### Kentucky Supreme Court



## Juvenile Post Disposition Branch Juvenile Appeals Caseload

### Cases Assigned by Quarter

Type of Case		1Q	2Q	3Q	4Q	Total
Original Action	Circuit Court	1	0	0	0	1
	Court of Appeals	0	1	0	0	1
	Supreme Court	0	0	0	0	0
	Federal Court	0	0	0	0	0
Public Offender Appeal	Circuit Court	13	8	15	15	51
	Court of Appeals	1	3	0	1	5
	Supreme Court	0	1	1	0	2
	Federal Court	0	0	0	0	0
Family Court Appeal	Circuit Court	0	0	0	0	0
	Court of Appeals	0	0	0	1	1
	Supreme Court	0	0	0	0	0
	Federal Court	0	0	0	0	0
Youthful Offender Appeal	Circuit Court	0	0	0	0	0
	Court of Appeals	7	2	4	0	13
	Supreme Court	4	1	3	0	8
	Federal Court	0	0	0	0	0
Adult Appeal	Circuit Court	0	0	0	0	0
	Court of Appeals	0	0	0	0	0
	Supreme Court	1	0	0	0	1
	Federal Court	0	0	0	0	0
<b>Total</b>		<b>27</b>	<b>16</b>	<b>23</b>	<b>17</b>	<b>83</b>

## Juvenile Post Disposition Branch

### Documents Filed and Hearings Attended

#### Post Disposition

	1Q	2Q	3Q	4Q	Total
<b>Original Actions Filed</b>	1	1	0	0	<b>2</b>
<b>State Habeas Corpus Actions Filed</b>	1	3	3	2	<b>9</b>
<b>Federal Habeas Corpus Actions Filed</b>	0	0	0	0	<b>0</b>
<b>11.42s Filed</b>	0	3	1	3	<b>7</b>
<b>610.120 Motions Filed</b>	7	16	6	11	<b>40</b>
<b>Motions for Belated Appeal Filed</b>	1	0	0	0	<b>1</b>
<b>Cases Resolved By Agreed Order, Without Filing One of the Above Listed Motions</b>	1	3	3	6	<b>13</b>
<b>YO Sentencing Related Motions Filed</b>	2	1	4	2	<b>9</b>
<b>Alternative Sentencing Plans Filed</b>	2	4	5	3	<b>14</b>
<b>Other Motions Filed</b>	16	11	21	13	<b>61</b>
<b>PC Evidentiary Hearings Held</b>	2	8	8	6	<b>24</b>
<b>Sentencing Hearings Held</b>	1	2	3	3	<b>9</b>
<b>Other Non-SPR Hearings Held</b>	15	16	22	13	<b>66</b>
<b>Number of Claims Administratively Resolved</b>	13	5	1	1	<b>20</b>
<b>Supervised Placement Revocation Cases</b>	14	12	21	22	<b>69</b>
<b>Total</b>	<b>76</b>	<b>85</b>	<b>98</b>	<b>85</b>	<b>344</b>

	1Q	2Q	3Q	4Q	Total
<b>Motions Filed</b>	21	22	23	30	<b>96</b>
<b>Original Briefs Filed</b>	11	14	18	17	<b>60</b>
<b>Reply Briefs Filed</b>	3	1	6	3	<b>13</b>
<b>Oral Arguments Held</b>	3	9	5	6	<b>23</b>
<b>Petitions for Rehearing Filed</b>	1	3	1	0	<b>5</b>
<b>Motions for Discretionary Review Filed</b>	5	2	7	3	<b>17</b>
<b>Petitions for Certiorari Filed</b>	0	1	0	0	<b>1</b>
<b>Total</b>	<b>44</b>	<b>52</b>	<b>60</b>	<b>59</b>	<b>215</b>

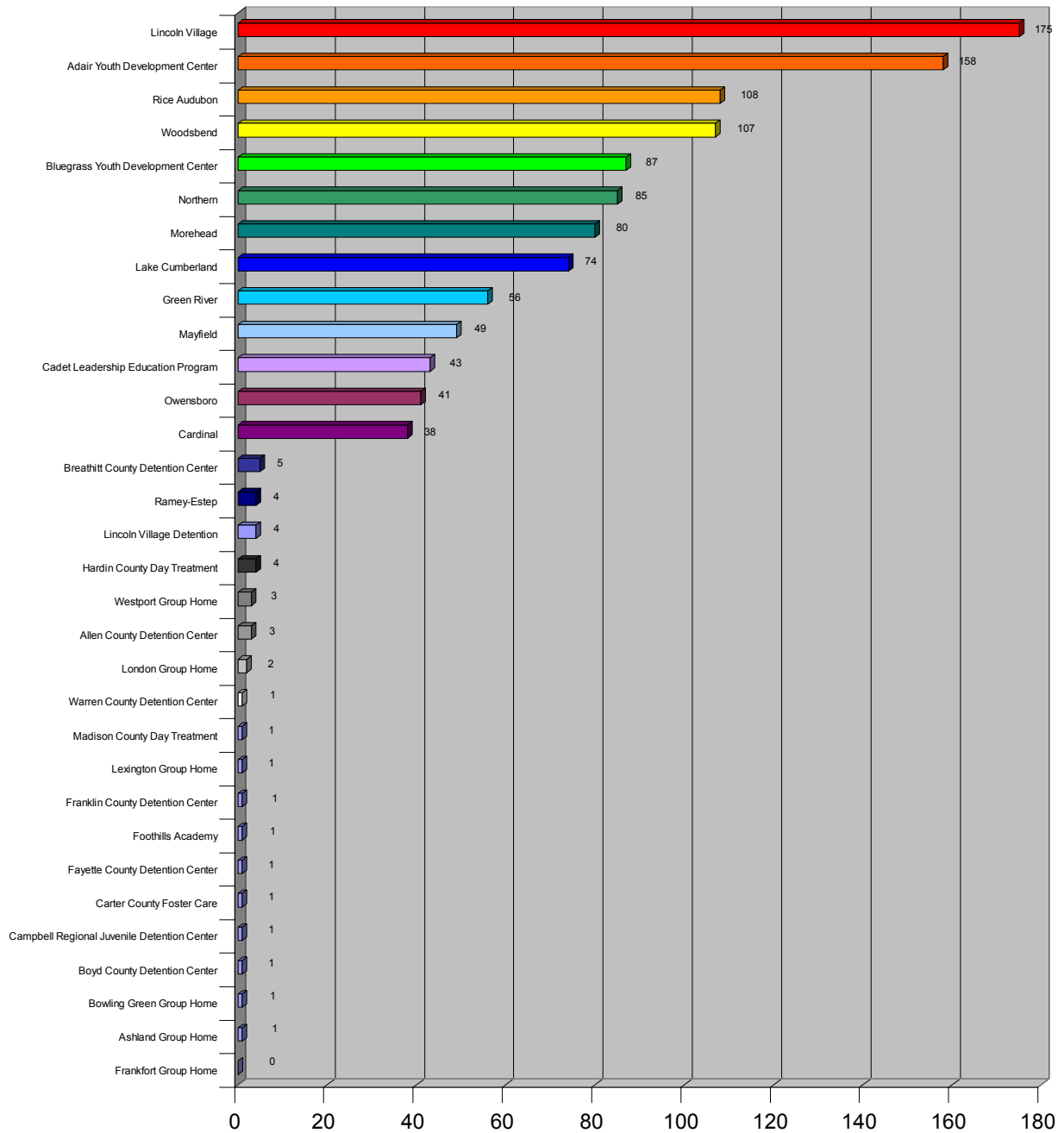


**Juvenile Post Disposition Branch  
FY 2007 Open Issues**

**35**

<b>Institution Name</b>	<b>FACT</b>	<b>DURATION</b>	<b>CONDITIONS</b>
Adair Youth Development Center	54	73	31
Allen County Detention Center	1	2	0
Ashland Group Home	0	1	0
Bluegrass Youth Development Center	46	28	13
Bowling Green Group Home	1	0	0
Boyd County Detention Center	1	0	0
Breathitt County Detention Center	3	1	1
Cadet Leadership Education Program	38	4	1
Campbell Regional Juvenile Detention Center	1	0	0
Cardinal	21	12	5
Carter County Foster Care	0	1	0
Fayette County Detention Center	1	0	0
Foothills Academy	0	1	0
Frankfort Group Home	0	0	0
Franklin County Detention Center	1	0	0
Green River	38	11	7
Hardin County Day Treatment	4	0	0
Lake Cumberland	59	8	7
Lexington Group Home	0	1	0
Lincoln Village	99	58	18
Lincoln Village Detention	4	0	0
London Group Home	1	1	0
Madison County Day Treatment	1	0	0
Mayfield	26	16	7
Morehead	43	18	19
Northern	45	27	13
Owensboro	23	10	8
Ramey-Estep	3	0	1
Rice Audubon	65	32	11
Warren County Detention Center	0	0	1
Westport Group Home	1	2	0
Woodsbend	68	29	10
<b>TOTAL</b>	<b>648</b>	<b>336</b>	<b>153</b>

## FY 2007 New Juvenile Claims by Institution



## Post Conviction Branch FY 2007 Non-Capital Caseload

Actions	Qtr 1				Qtr 2				Qtr 3				Qtr 4				YTD Totals			
	Term of Yrs	LWOP	LWOP 25	Total	Term of Yrs	LWOP	LWOP 25	Total	Term of Yrs	LWOP	LWOP 25	Total	Term of Yrs	LWOP	LWOP 25	Total	Term of Yrs	LWOP	LWOP 25	Total
RCR 11. 42- Court appointed	34	0	0	34	49	0	0	49	41	1	3	45	32	0	1	33	156	1	4	161
RCR 11. 42- Branch appointed	0	0	0	0	0	0	0	0	1	0	0	1	2	0	0	2	3	0	0	3
60.02- Court appointed	10	0	0	10	5	0	0	5	2	0	0	2	5	0	0	5	22	0	0	22
60.02- Branch appointed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ct Aptmt review/ withdrawal	5	0	0	5	0	0	0	0	1	0	0	1	1	0	0	1	7	0	0	7
State Habeas filed	2	0	0	2	1	0	0	1	1	0	0	1	0	0	0	0	4	0	0	4
Federal Habeas Opened	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ct of App. Cases Opened	4	0	0	4	12	0	0	12	4	0	0	4	7	0	0	7	27	0	0	27
Ky SCt Cases Opened	1	0	0	1	1	0	0	1	0	0	0	0	0	0	0	0	2	0	0	2
6th Circuit Cases Opened	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
Non Capital Clemency	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
31.110 App Reviews	22	0	0	22	41	0	0	41	37	0	0	37	55	0	0	55	155	0	0	155
COA's denied	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
KIP cases assigned to PC*	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cert Petitions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Totals</b>	<b>80</b>	<b>0</b>	<b>0</b>	<b>80</b>	<b>109</b>	<b>0</b>	<b>0</b>	<b>109</b>	<b>87</b>	<b>1</b>	<b>3</b>	<b>91</b>	<b>102</b>	<b>0</b>	<b>1</b>	<b>103</b>	<b>378</b>	<b>1</b>	<b>4</b>	<b>383</b>
Pending KIP - assigned to PC*	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
Pending Cases	366	0	0	366	378	0	0	378	0	0	0	402	0	0	0	0	402	0	0	402
Pending Appeals	80	0	0	80	90	0	0	90	0	0	0	85	0	0	0	0	85	0	0	85
<b>Totals</b>	<b>447</b>	<b>0</b>	<b>0</b>	<b>447</b>	<b>468</b>	<b>0</b>	<b>0</b>	<b>468</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>487</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>487</b>	<b>0</b>	<b>0</b>	<b>487</b>

\* Cases actually assigned to Post Conviction Unit B or LaGrange attorneys.

## Post Conviction Branch FY 2007 Capital Caseload \*

Action	1st Quarter				2nd Quarter				3rd Quarter				4th Quarter				Year to Date			
	CURR	NEW	CLOSE	TOTAL	CURR	NEW	CLOSE	TOTAL	CURR	NEW	CLOSE	TOTAL	CURR	NEW	CLOSE	TOTAL	CURR	NEW	CLOSE	TOTAL
11.42	13	1	4	10	10	0	2	8	8	1	0	9	9	0	0	9	13	2	6	9
11.42 Appeal	5	4	0	9	9	2	1	10	10	0	0	10	10	0	1	9	5	6	2	9
Ancillary Cases	6	4	2	8	8	3	3	8	8	1	5	4	4	3	1	6	6	11	11	6
Ancillary Appeal	8	1	0	9	9	3	0	12	12	3	1	14	14	1	1	14	8	8	2	14
Federal Habeas	9	1	1	9	9	1	1	9	9	3	1	11	11	3	0	14	9	8	3	14
Appeal to 6th Circuit	6	1	2	5	5	1	1	5	5	1	0	6	6	0	0	6	6	3	3	6
USSCT Cert	4	1	0	5	5	2	3	4	4	2	2	4	4	1	1	4	4	6	6	4
Clemency	2	0	0	2	2	1	0	3	3	0	0	3	3	1	0	4	2	2	0	4
<b>TOTALS</b>	<b>53</b>	<b>13</b>	<b>9</b>	<b>57</b>	<b>57</b>	<b>13</b>	<b>11</b>	<b>59</b>	<b>59</b>	<b>11</b>	<b>9</b>	<b>61</b>	<b>61</b>	<b>9</b>	<b>4</b>	<b>66</b>	<b>53</b>	<b>46</b>	<b>33</b>	<b>66</b>

\*cases handled in-house and of-counsel 2007

## Post Conviction Branch Client Intake

Each year, the Post Conviction Branch spends time at correctional facilities in Kentucky interviewing inmates with potential legal complaints. These interviews take significant time to hear and prepare a response to the client. DPA is able to accept less than 10% of these incarcerated persons as clients. Only the accepted clients are counted as cases in the DPA Post Trial case count.

Office	# Clients Served from Intake				
	Q1	Q2	Q3	Q4	YTD
Frankfort	10	10	5	10	35
LaGrange	27	22	72	54	175
<b>TOTALS</b>	<b>37</b>	<b>32</b>	<b>77</b>	<b>64</b>	<b>210</b>

Action	Kentucky Innocence Project				
	Q1	Q2	Q3	Q4	YTD
Cases Reviewed	23	55	56	105	239
Cases Selected	20	1	1	0	22
Carry Over/Pending	24	24	24	24	96

## KENTUCKY COUNTY-LEVEL POVERTY RATES

(Based on 2004 Estimates (latest available))

	All people in poverty (2004)			Children ages 0-17 in poverty (2004)		
		90% confidence interval of estimate *			90% confidence interval of estimate *	
Name	Percent	Lower bound	Upper bound	Percent	Lower bound	Upper bound
ADAIR	21.5	16	27	30	22	38
ALLEN	17.2	13	21	23.9	18	30
ANDERSON	9.6	7	12	12.7	10	16
BALLARD	14.5	11	18	21	16	27
BARREN	16.6	13	20	23.3	17	29
BATH	20.1	16	25	28.6	21	36
BELL	28.8	22	36	39.4	29	50
BOONE	7.7	6	10	9.8	7	12
BOURBON	14.3	11	18	20.2	15	26
BOYD	17.2	13	21	24.8	18	31
BOYLE	14.8	11	18	20	15	25
BRACKEN	12.8	10	16	16.8	12	21
BREATHITT	29.5	22	37	39.8	29	51
BRECKINRIDGE	16.3	13	20	23.6	17	30
BULLITT	10.4	8	13	14.6	11	18
BUTLER	17.5	13	22	23.9	18	30
CALDWELL	15.6	12	19	21.7	16	27
CALLOWAY	16	12	20	20.4	15	26
CAMPBELL	10.9	8	13	14	10	18
CARLISLE	13.4	10	17	20.3	15	26
CARROLL	14.3	11	18	20.6	15	26
CARTER	22.7	18	28	31.2	23	39
CASEY	22.9	18	28	32.6	24	41
CHRISTIAN	17.5	13	22	23.2	17	29
CLARK	13.5	10	17	19.5	14	25
CLAY	34.3	26	43	43.6	32	56
CLINTON	23.5	18	29	32.6	24	41
CRITTENDEN	17.1	13	21	26.6	20	34
CUMBERLAND	22.1	17	27	30.7	23	39
DAVIESS	14.1	11	17	18.9	14	24
EDMONSON	17.5	14	22	25.7	19	32
ELLIOTT	25.3	19	31	34	25	43
ESTILL	23.6	18	29	32.8	24	41
FAYETTE	14.2	11	17	18.2	14	23
FLEMING	17.8	14	22	25.6	19	32
FLOYD	26.8	21	33	35.7	27	45
FRANKLIN	12.3	10	15	17	13	21
FULTON	23.1	18	29	33.2	24	42

Name	All people in poverty (2004)			Children ages 0-17 in poverty (2004)		
	Percent	90% confidence interval of estimate *		Percent	90% confidence interval of estimate *	
		Lower bound	Upper bound		Lower bound	Upper bound
GALLATIN	17.2	13	22	21.2	14	28
GARRARD	14.6	11	18	20.8	15	26
GRANT	13.3	10	16	19	14	24
GRAVES	16.5	13	20	22.9	17	29
GRAYSON	17.9	14	22	26.4	20	33
GREEN	18.3	14	23	25.3	19	32
GREENUP	16	12	20	22.4	17	28
HANCOCK	12.1	9	15	15.7	12	20
HARDIN	13	10	16	18.1	14	23
HARLAN	29.3	23	36	37.9	28	48
HARRISON	13.6	11	17	19.5	15	24
HART	20.5	16	25	28.9	21	36
HENDERSON	14.3	11	18	19.8	15	25
HENRY	13.7	11	17	18.4	14	23
HICKMAN	16.2	12	20	23.9	18	30
HOPKINS	16.8	13	21	24.4	18	31
JACKSON	25.2	19	31	34.5	25	44
JEFFERSON	14.8	12	18	21	16	26
JESSAMINE	13.2	10	16	18.8	14	24
JOHNSON	23.3	18	29	32.2	24	41
KENTON	11.3	9	14	15.6	12	20
KNOTT	27	21	34	35.4	26	45
KNOX	29.1	22	36	39.2	29	50
LARUE	15.4	12	19	21.4	16	27
LAUREL	20.4	16	25	28.9	22	36
LAWRENCE	24.8	19	31	34.7	26	44
LEE	29.8	23	37	39.4	29	50
LESLIE	28.6	22	35	37.9	28	48
LETCHER	24	19	30	32.8	24	41
LEWIS	26.9	21	33	36.7	27	46
LINCOLN	18.8	14	23	26.2	19	33
LIVINGSTON	12.8	10	16	19.3	14	25
LOGAN	15.9	12	20	22.4	17	28
LYON	14.3	11	18	17.2	13	22
MCCRACKEN	16.7	13	20	24.2	18	30
MCCREARY	30.1	23	37	41.4	30	53
MCLEAN	14.9	11	18	20	15	25
MADISON	16.3	13	20	20.1	15	25
MAGOFFIN	29.9	23	37	40.4	30	51

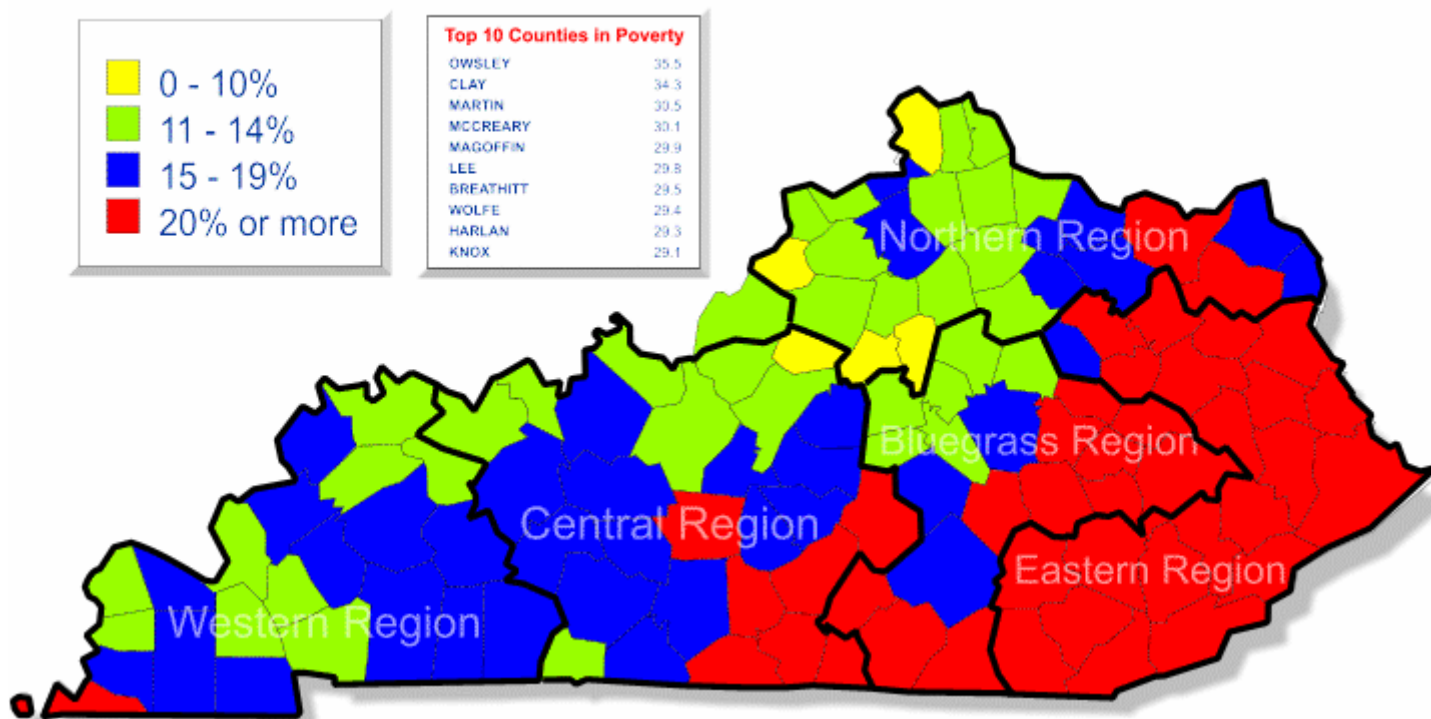
Name	All people in poverty (2004)			Children ages 0-17 in poverty (2004)		
	Percent	90% confidence interval of estimate *		Percent	90% confidence interval of estimate *	
		Lower bound	Upper bound		Lower bound	Upper bound
MARION	16.4	13	20	21.9	16	28
MARSHALL	11.4	9	14	17	13	21
MARTIN	30.5	23	38	39.9	29	51
MASON	16.7	13	21	23.4	17	30
MEADE	11.9	9	15	16.3	12	21
MENIFEE	24.2	19	30	34.2	25	43
MERCER	13.6	10	17	19	14	24
METCALFE	20.6	16	26	29.3	22	37
MONROE	21.3	16	26	29.4	22	37
MONTGOMERY	15.4	12	19	20.9	16	26
MORGAN	27	21	34	34.6	26	44
MUHLENBERG	19	15	23	26.7	20	34
NELSON	12.9	10	16	17.3	13	22
NICHOLAS	15.3	12	19	20.5	15	26
OHIO	17.7	14	22	24.6	18	31
OLDHAM	6.3	5	8	7.3	5	9
OWEN	16	12	20	21.6	16	27
OWSLEY	35.5	27	44	48	35	61
PENDLETON	13.6	10	17	18.8	14	24
PERRY	26	20	32	34.7	26	44
PIKE	22.9	18	28	30.6	23	39
POWELL	23.3	18	29	34	25	43
PULASKI	18.7	15	23	26.5	20	33
ROBERTSON	19	14	24	25.1	18	32
ROCKCASTLE	21.4	16	26	29.3	22	37
ROWAN	20.7	16	26	24.9	18	31
RUSSELL	21.5	17	27	30.6	23	39
SCOTT	10.5	8	13	14.3	11	18
SHELBY	11	9	14	14.7	11	19
SIMPSON	13.9	11	17	19	14	24
SPENCER	9.3	7	12	11.7	9	15
TAYLOR	17.8	14	22	24.5	18	31
TODD	16.9	13	21	23.3	17	29
TRIGG	13	10	16	18.3	13	23
TRIMBLE	14.1	11	17	18.6	14	24
UNION	15.5	12	19	20.6	15	26
WARREN	16.5	13	20	21.3	16	27
WASHINGTON	15.1	12	19	18.9	14	24
WAYNE	24.3	19	30	34	25	43



Name	All people in poverty (2004)			Children ages 0-17 in poverty (2004)		
	Percent	90% confidence interval of estimate *		Percent	90% confidence interval of estimate *	
		Lower bound	Upper bound		Lower bound	Upper bound
<b>WEBSTER</b>	14.9	11	18	20.2	15	25
<b>WHITLEY</b>	25.3	19	31	34.7	26	44
<b>WOLFE</b>	29.4	23	36	41.8	31	53
<b>WOODFORD</b>	9.6	7	12	12.8	9	16

\* A confidence interval is a range of values that describes the uncertainty surrounding an estimate. We indicate a confidence interval by its endpoints; for example, the 90% confidence interval for the number of people, of all ages, in poverty in the United States in 1995 (based on the March 1996 Current Population Survey) is "35,534,124 to 37,315,094." A confidence interval is also itself an estimate. It is made using a model of how sampling, interviewing, measuring, and modeling contribute to uncertainty about the relation between the true value of the quantity we are estimating and our estimate of that value.

## Percent of Total Population in Poverty (2004)



### Data Sources

- Local Area Unemployment Statistics, county data, [Bureau of Labor Statistics](#).
- Regional Economic Information System, June 2005, [Bureau of Economic Analysis](#), U.S. Department of Commerce.
- 1980, 1990, and 2000 Census of Population, [Bureau of the Census](#), U.S. Department of Commerce.

## KENTUCKY COUNTY-LEVEL POPULATION TOTALS

County	Population 1990	Population 2000	Population 2006
ADAIR	15,360	17,244	17,650
ALLEN	14,628	17,800	18,788
ANDERSON	14,571	19,111	20,885
BALLARD	7,902	8,286	8,245
BARREN	34,001	38,033	40,737
BATH	9,692	11,085	11,707
BELL	31,506	30,060	29,544
BOONE	57,589	85,992	110,080
BOURBON	19,236	19,360	19,839
BOYD	51,096	49,752	49,371
BOYLE	25,590	27,697	28,444
BRACKEN	7,766	8,279	8,655
BREATHITT	15,703	16,100	15,924
BRECKINRIDGE	16,312	18,648	19,225
BULLITT	47,567	61,236	72,851
BUTLER	11,245	13,010	13,397
CALDWELL	13,232	13,060	12,916
CALLOWAY	30,735	34,177	35,421
CAMPBELL	83,866	88,616	86,866
CARLISLE	5,238	5,351	5,317
CARROLL	9,292	10,155	10,521
CARTER	24,340	26,889	27,365
CASEY	14,211	15,447	16,326
CHRISTIAN	68,941	72,309	66,989
CLARK	29,496	33,144	35,275
CLAY	21,746	24,556	24,052
CLINTON	9,135	9,634	9,566
CRITTENDEN	9,196	9,384	9,070
CUMBERLAND	6,784	7,147	7,046
DAVIESS	87,189	91,545	93,613
EDMONSON	10,357	11,644	12,054
ELLIOTT	6,455	6,748	7,187
ESTILL	14,614	15,307	15,163
FAYETTE	225,366	260,512	270,789
FLEMING	12,292	13,792	14,576
FLOYD	43,586	42,441	42,282
FRANKLIN	44,143	47,687	48,183
FULTON	8,271	7,752	6,949
GALLATIN	5,393	7,870	8,153
GARRARD	11,579	14,792	16,933
GRANT	15,737	22,384	24,769

<b>County</b>	<b>Population 1990</b>	<b>Population 2000</b>	<b>Population 2006</b>
<b>GRAVES</b>	33,550	37,028	<b>37,872</b>
<b>GRAYSON</b>	21,050	24,053	<b>25,425</b>
<b>GREEN</b>	10,371	11,518	<b>11,641</b>
<b>GREENUP</b>	36,796	36,891	<b>37,374</b>
<b>HANCOCK</b>	7,864	8,392	<b>8,636</b>
<b>HARDIN</b>	89,240	94,170	<b>97,087</b>
<b>HARLAN</b>	36,574	33,202	<b>31,692</b>
<b>HARRISON</b>	16,248	17,983	<b>18,592</b>
<b>HART</b>	14,890	17,449	<b>18,547</b>
<b>HENDERSON</b>	43,044	44,829	<b>45,666</b>
<b>HENRY</b>	12,823	15,060	<b>16,025</b>
<b>HICKMAN</b>	5,566	5,262	<b>4,974</b>
<b>HOPKINS</b>	46,126	46,519	<b>46,830</b>
<b>JACKSON</b>	11,955	13,495	<b>13,810</b>
<b>JEFFERSON</b>	665,123	693,604	<b>701,500</b>
<b>JESSAMINE</b>	30,508	39,041	<b>44,790</b>
<b>JOHNSON</b>	23,248	23,445	<b>24,188</b>
<b>KENTON</b>	142,005	151,463	<b>154,911</b>
<b>KNOTT</b>	17,906	17,649	<b>17,536</b>
<b>KNOX</b>	29,676	31,795	<b>32,527</b>
<b>LARUE</b>	11,679	13,373	<b>13,791</b>
<b>LAUREL</b>	43,438	52,715	<b>56,979</b>
<b>LAWRENCE</b>	13,998	15,569	<b>16,321</b>
<b>LEE</b>	7,422	7,916	<b>7,648</b>
<b>LESLIE</b>	13,642	12,401	<b>11,973</b>
<b>LETCHER</b>	27,000	25,277	<b>24,520</b>
<b>LEWIS</b>	13,029	14,092	<b>14,012</b>
<b>LINCOLN</b>	20,096	23,361	<b>25,361</b>
<b>LIVINGSTON</b>	9,062	9,804	<b>9,797</b>
<b>LOGAN</b>	24,416	26,573	<b>27,363</b>
<b>LYON</b>	6,624	8,080	<b>8,273</b>
<b>MCCRACKEN</b>	62,879	65,514	<b>64,950</b>
<b>MCCREARY</b>	15,603	17,080	<b>17,354</b>
<b>MCLEAN</b>	9,628	9,938	<b>9,844</b>
<b>MADISON</b>	57,508	70,872	<b>79,015</b>
<b>MAGOFFIN</b>	13,077	13,332	<b>13,449</b>
<b>MARION</b>	16,499	18,212	<b>18,979</b>
<b>MARSHALL</b>	27,205	30,125	<b>31,278</b>
<b>MARTIN</b>	12,526	12,578	<b>12,093</b>
<b>MASON</b>	16,666	16,800	<b>17,271</b>
<b>MEADE</b>	24,170	26,349	<b>27,994</b>
<b>MENIFEE</b>	5,092	6,556	<b>6,788</b>
<b>MERCER</b>	19,148	20,817	<b>21,818</b>

County	Population 1990	Population 2000	Population 2006
METCALFE	8,963	10,037	10,334
MONROE	11,401	11,756	11,771
MONTGOMERY	19,561	22,554	24,887
MORGAN	11,648	13,948	14,306
MUHLENBERG	31,318	31,840	31,561
NELSON	29,710	37,477	42,102
NICHOLAS	6,725	6,813	6,958
OHIO	21,105	22,916	23,844
OLDHAM	33,263	46,618	55,285
OWEN	9,035	10,547	11,428
OWSLEY	5,036	4,858	4,690
PENDLETON	12,062	14,390	15,334
PERRY	30,283	29,422	29,753
PIKE	72,584	68,736	66,860
POWELL	11,686	13,237	13,825
PULASKI	49,489	56,217	59,749
ROBERTSON	2,124	2,266	2,332
ROCKCASTLE	14,803	16,582	16,857
ROWAN	20,353	22,094	22,234
RUSSELL	14,716	16,315	17,174
SCOTT	23,867	33,061	41,605
SHELBY	24,824	33,337	39,717
SIMPSON	15,145	16,405	17,180
SPENCER	6,801	11,766	16,475
TAYLOR	21,146	22,927	23,731
TODD	10,940	11,970	12,101
TRIGG	10,361	12,597	13,399
TRIMBLE	6,090	8,125	9,074
UNION	16,557	15,637	15,371
WARREN	77,720	92,522	101,266
WASHINGTON	10,441	10,916	11,444
WAYNE	17,468	19,923	20,504
WEBSTER	13,955	14,120	14,083
WHITLEY	33,326	35,865	38,142
WOLFE	6,503	7,065	7,095
WOODFORD	19,955	23,208	24,386
<b>TOTAL</b>	<b>3,686,892</b>	<b>4,042,285</b>	<b>4,206,074</b>

#### Data Sources

- Local Area Unemployment Statistics, county data, [Bureau of Labor Statistics](#).
- Regional Economic Information System, June 2005, [Bureau of Economic Analysis](#), U.S. Department of Commerce.
- 1980, 1990, and 2000 Census of Population, [Bureau of the Census](#), U.S. Department of Commerce.

# KENTUCKY COUNTY-LEVEL UNEMPLOYMENT AND MEDIAN HOUSEHOLD INCOME

	Unemployment Rate (Percentages)								Median Household Income (2004)	% of State Median HH Income
County	1999	2000	2001	2002	2003	2004	2005	2006		
ADAIR	9.5	5.1	4.7	5.4	5.9	5.4	5.7	5.7	\$25,696	69.40%
ALLEN	5.4	4.4	6.1	6.3	6.9	6.7	6.6	6.5	\$33,541	90.50%
ANDERSON	3	3.5	5	4.9	4.6	4.4	4.9	5.2	\$48,280	130.30%
BALLARD	6.3	6	6.7	7.2	8	7	6.9	6.2	\$35,735	96.50%
BARREN	5.4	4.6	5	4.7	5.2	4.6	5.5	5.6	\$33,531	90.50%
BATH	6.4	6.2	7.4	7.4	8	7	8.3	7.7	\$28,894	78.00%
BELL	5.7	6.6	8.4	8.7	8.9	7.2	7.5	7.8	\$22,030	59.50%
BOONE	2.8	3	3.9	4	4.7	4.5	4.9	4.7	\$58,749	158.60%
BOURBON	2.5	3.4	4.6	5.5	5.9	4.9	5.5	4.9	\$36,710	99.10%
BOYD	7	5.8	6.5	6.3	7	6.3	6	5.5	\$35,041	94.60%
BOYLE	3.3	4	5.5	6.3	8.6	6.7	6.7	6.7	\$36,478	98.50%
BRACKEN	4.1	3.6	4.8	5.1	6.2	5.9	6.4	5.7	\$38,296	103.40%
BREATHITT	8.7	6.8	7.7	8.1	8.9	7.5	7.5	7.4	\$22,571	60.90%
BRECKINRIDGE	6.5	4.8	6.3	6.7	7	6.5	7.1	6.7	\$33,586	90.70%
BULLITT	3.2	3.3	4.2	5.1	5.6	5.1	5.8	6	\$49,055	132.40%
BUTLER	5.8	4.1	5.5	8.1	9.8	7.2	7.2	7.8	\$31,393	84.70%
CALDWELL	4.8	4.4	5.1	5.1	6	5.2	6	5.2	\$32,147	86.80%
CALLOWAY	4.4	4	5.1	7	7.5	5.4	5.7	5.6	\$31,559	85.20%
CAMPBELL	3.4	3.3	4.4	4.4	5.1	4.9	5.5	5.1	\$44,639	120.50%
CARLISLE	6.5	6.1	6.4	6.6	8	7.6	7.4	6.1	\$32,579	87.90%
CARROLL	4.4	4.4	5.8	7.3	6.9	5.4	5.7	5.5	\$40,525	109.40%
CARTER	13.2	7.3	10.3	9.2	9	8.6	8.4	7.6	\$29,428	79.40%
CASEY	7.7	4.6	6.8	6.6	6.8	6.4	6.2	6.8	\$24,244	65.40%
CHRISTIAN	4	4.8	6.7	6.1	7.1	6	7.1	6.6	\$33,435	90.30%
CLARK	3.3	3.8	5.3	5.6	5.7	5.2	5.5	5.5	\$42,173	113.80%
CLAY	7.1	6.8	7.7	10.7	10.5	7.8	8.6	10	\$19,491	52.60%
CLINTON	4.1	5.1	6.2	8.7	9.4	7	6.5	6	\$23,853	64.40%
CRITTENDEN	5.8	6.4	7.6	5.7	7	6.1	6.1	6.1	\$30,775	83.10%
CUMBERLAND	6.9	5.7	5.7	5.6	7.4	7.1	8.1	7.3	\$23,721	64.00%
DAVIESS	5.3	4.4	5.1	5.5	6.1	5.4	5.9	5.3	\$40,020	108.00%
EDMONSON	5.8	5.1	6.1	7.1	7.1	6.1	7.2	6.8	\$30,057	81.10%
ELLIOTT	14.4	9	8.8	8.6	8.4	10.4	9.8	8.2	\$24,441	66.00%
ESTILL	4.9	4.8	6.3	6.5	7.6	7.6	7.2	6.6	\$26,183	70.70%
FAYETTE	2	3	3.8	4.3	4.7	4.2	4.5	4.3	\$41,602	112.30%
FLEMING	4.5	4.7	5	5.5	5.9	6.2	6.1	6.5	\$30,323	81.90%
FLOYD	8	7	6.8	7.2	8.4	7.2	7.1	6.5	\$25,340	68.40%

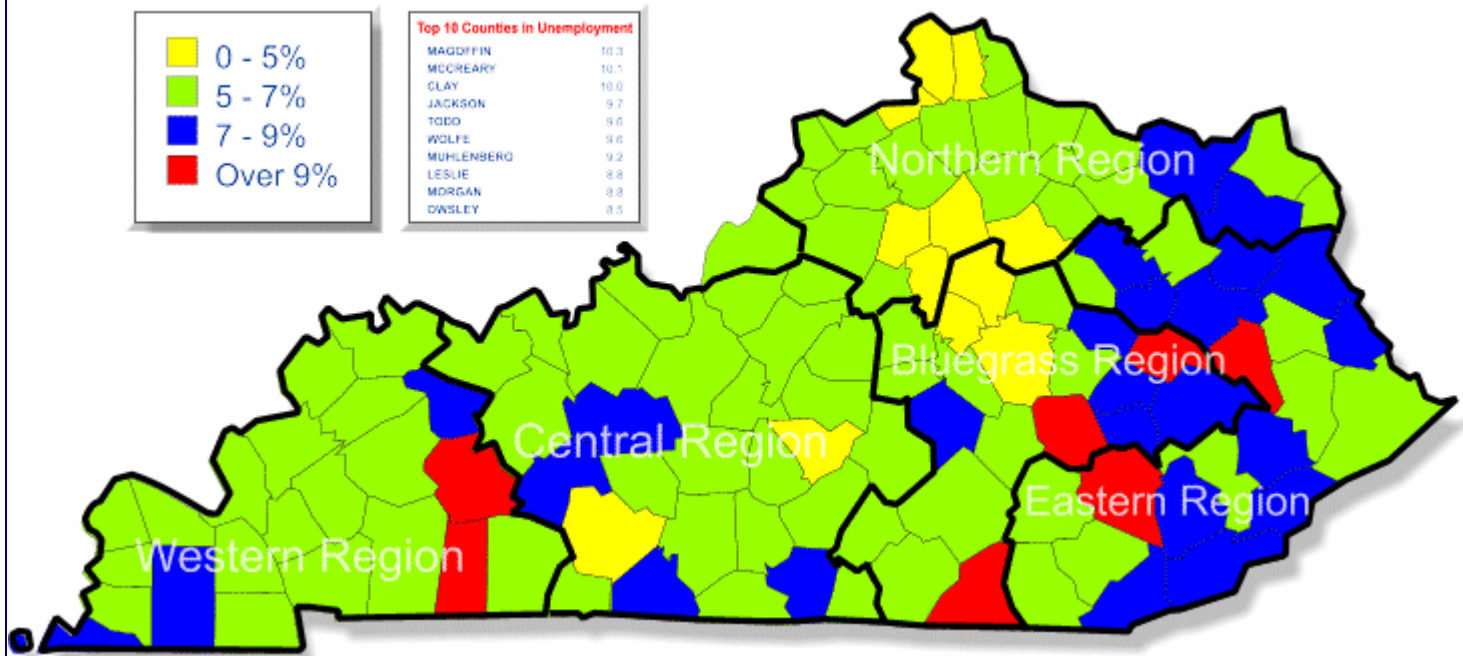
	Unemployment Rate (Percentages)								Median Household Income (2004)	% of State Median HH Income
County	1999	2000	2001	2002	2003	2004	2005	2006		
FRANKLIN	2.6	3.4	3.7	4.3	4.7	4.2	4.7	4.7	\$42,306	114.20%
FULTON	10.8	5.3	6.4	7	11.4	9.3	7.5	7.5	\$26,659	72.00%
GALLATIN	3.6	4	4.7	5.8	5.8	5.8	6	4.8	\$35,105	94.80%
GARRARD	2.8	3.5	4.8	5.4	5.7	5.7	6.3	5.9	\$36,816	99.40%
GRANT	4	3.9	5.1	5.4	6	5.5	5.6	5.3	\$41,885	113.10%
GRAVES	5.8	5	6.6	7	7.5	7.5	10.1	7.2	\$32,969	89.00%
GRAYSON	6.4	5.2	7.5	8.1	9.3	7.2	8.7	8.3	\$30,323	81.90%
GREEN	14.2	4.6	5.3	5.2	6.3	5.5	6.3	5.6	\$27,825	75.10%
GREENUP	6.6	5.9	6.2	6.1	6.9	6.4	6.5	5.9	\$35,414	95.60%
HANCOCK	10.5	5.1	5.9	6.2	7.1	6	6.3	5.9	\$43,120	116.40%
HARDIN	5.8	4.4	5.2	5.9	5.7	5.5	5.9	5.4	\$43,082	116.30%
HARLAN	11.4	8.3	7.8	9	10	7.7	8	8.1	\$22,891	61.80%
HARRISON	4.4	3.6	5.2	5.6	5.8	5.8	6	5.5	\$38,140	103.00%
HART	5.3	4.7	5.5	5.4	6.3	5.4	6.6	6.4	\$27,463	74.10%
HENDERSON	4.5	3.5	4.7	5.3	5.5	4.7	5.5	5.1	\$39,222	105.90%
HENRY	3.7	3.2	4.4	4.4	5.3	4.9	5.8	5.7	\$39,759	107.30%
HICKMAN	6.3	4.7	5.8	6.6	8.9	9.2	7.9	6.8	\$35,250	95.20%
HOPKINS	6	5.4	5.8	5.6	6.7	5.5	6	5.8	\$34,295	92.60%
JACKSON	5	5.8	8.2	7.8	7.9	6.6	7.6	9.7	\$23,140	62.50%
JEFFERSON	3.9	3.7	4.7	5.7	6.2	5.5	6	5.6	\$42,239	114.00%
JESSAMINE	1.6	3	3.8	4.2	4.6	4.5	4.8	4.7	\$41,200	111.20%
JOHNSON	7.5	5.8	6.1	6.3	6.9	6.7	6.8	6.5	\$28,391	76.60%
KENTON	3.3	3.3	4.3	4.4	4.9	4.7	5.1	4.9	\$47,729	128.80%
KNOTT	8.6	7.4	6.9	6.8	6.6	6.4	7	7	\$24,531	66.20%
KNOX	5.8	5.8	6.5	7.1	7.8	6.7	6.5	6.9	\$22,503	60.70%
LARUE	4.9	4.5	5	5.5	5.3	5.1	6.4	5.6	\$35,110	94.80%
LAUREL	4.8	4.2	5	5.9	6.7	5.8	5.7	5.8	\$30,255	81.70%
LAWRENCE	11.1	7	8.3	7.9	8.9	8.1	8.2	8.3	\$26,336	71.10%
LEE	6	5.9	7.2	7.6	9.1	7.8	7.7	7.4	\$21,578	58.20%
LESLIE	5.9	6	6.3	8.7	10	8.4	8.3	8.8	\$22,161	59.80%
LETCHER	9.5	7.9	6.4	8.4	10.4	7.2	7.3	7.6	\$26,422	71.30%
LEWIS	14.5	8.7	8.1	7.8	8	8.4	9.6	8.2	\$24,928	67.30%
LINCOLN	4.1	4.1	6.1	6.9	7.8	7.3	7	7.2	\$29,340	79.20%
LIVINGSTON	5.5	5.7	6.4	6.6	7	6.3	5.9	6.2	\$34,487	93.10%
LOGAN	3.6	3.7	6.7	6.7	6.4	5.8	6.5	5.8	\$34,963	94.40%
LYON	5.1	5.6	6.1	6.6	8.4	7.1	7.5	6.6	\$35,203	95.00%
MCCRACKEN	3.9	4.6	5.2	6	6.5	5.5	5.9	5.4	\$36,519	98.60%
MCCREARY	7	6.8	9.6	9.6	9.9	8	8.8	10.1	\$21,822	58.90%
MCLEAN	7.2	5.2	5.9	6.4	7.7	6.7	7.3	7.5	\$31,881	86.10%
MADISON	2.7	3.6	4.7	5.1	5.2	5.1	5	4.8	\$36,710	99.10%

	Unemployment Rate (Percentages)								Median Household Income (2004)	% of State Median HH Income
County	1999	2000	2001	2002	2003	2004	2005	2006		
MAGOFFIN	14	10.7	10.2	11.5	12.2	12.9	11.8	10.3	\$23,518	63.50%
MARION	5.7	5.1	5.8	5.1	5.7	5.1	5.8	5.3	\$33,886	91.50%
MARSHALL	5.8	5.1	6.4	7.5	7.6	6.8	6.6	6.1	\$38,348	103.50%
MARTIN	12.9	7.4	6.8	7.3	8.6	7.5	7.8	7.5	\$22,768	61.50%
MASON	3.1	3.8	4.7	5.2	5.9	5.8	6.4	5.3	\$34,038	91.90%
MEADE	4.5	4.1	5.1	5.8	6.3	6.1	7.1	6.3	\$41,675	112.50%
MENIFEE	6.9	6.3	7.8	8.1	9.3	7.2	8.3	8.4	\$25,472	68.80%
MERCER	3.3	3.8	5.1	5.5	6.3	5.3	5.9	5.8	\$38,251	103.30%
METCALFE	7.3	4.5	5.2	5.1	5.4	4.6	5.7	5.4	\$26,541	71.60%
MONROE	12.9	8.2	8.9	6.6	7.3	5.4	6.5	6.3	\$25,401	68.60%
MONTGOMERY	5.2	4.4	6.2	6.5	6.5	6.6	7	6	\$35,346	95.40%
MORGAN	8.1	6.6	8.3	9.1	9.7	8.9	9.7	8.8	\$25,191	68.00%
MUHLENBERG	8.6	5.9	8.3	8	8.1	7.7	8.7	9.2	\$31,141	84.10%
NELSON	6.1	4.2	5.2	5.9	6.1	5.3	6.4	5.9	\$43,043	116.20%
NICHOLAS	4.2	7.1	6.1	6.9	6.7	6.7	9.6	6.9	\$33,142	89.50%
OHIO	8.6	5.1	6.1	7	7.6	6.3	6.6	6.1	\$32,943	88.90%
OLDHAM	2	3	3.4	3.9	4.2	4.1	4.9	5	\$68,130	183.90%
OWEN	3.2	3.7	4.3	4.6	4.9	5.2	5.9	5.6	\$35,191	95.00%
OWSLEY	5.5	5.7	7	6.8	8.9	7.5	7.8	8.5	\$18,377	49.60%
PENDLETON	4	3.5	4.6	4.9	6.2	5	5.8	5.6	\$39,295	106.10%
PERRY	8.1	6.8	6.8	8	9.1	7.9	7.2	6.9	\$26,891	72.60%
PIKE	7.8	6.2	5.8	6.9	8.1	6.6	6.3	6	\$27,625	74.60%
POWELL	6	5	7.1	7.2	9.3	8.4	7.8	7.3	\$27,949	75.40%
PULASKI	4.9	4.4	6.6	7.1	6.9	5.5	6.1	6.5	\$31,124	84.00%
ROBERTSON	5	4.4	4.8	5	5.9	6	7.1	5.3	\$31,133	84.00%
ROCKCASTLE	5.6	4.8	6.5	5.7	5.7	5.8	7.3	6.9	\$27,500	74.20%
ROWAN	3.9	4.4	5.8	5.6	5.3	5.1	5.8	5.7	\$30,947	83.50%
RUSSELL	12.5	5.7	6.2	7.7	7.5	6.2	6.7	6.6	\$25,510	68.90%
SCOTT	2	3.2	3.9	4.7	5.3	4.6	4.9	4.8	\$50,774	137.10%
SHELBY	2.5	3	4.3	4.7	5.1	4.5	5.3	5.2	\$47,688	128.70%
SIMPSON	3.3	3.5	5.5	5.8	5.7	4.9	5.7	5.8	\$39,738	107.30%
SPENCER	3.5	3	4	3.9	4.2	4.8	5.4	6.1	\$53,806	145.20%
TAYLOR	16.1	5.2	5.1	5.2	5.7	5.2	5.3	4.9	\$31,599	85.30%
TODD	3.1	4.1	6.5	5.1	4.6	4.7	6.6	9.6	\$32,173	86.80%
TRIGG	3.2	4.5	5.7	6.6	6.1	5.1	6.7	5.9	\$39,400	106.40%
TRIMBLE	2.9	3.8	5.3	4.8	5.5	5.5	6.5	6.7	\$40,179	108.50%
UNION	6.4	4.8	5.5	5.1	6.2	5.5	6	6	\$36,892	99.60%
WARREN	3.5	3.8	4.8	5	5.5	4.6	5	4.8	\$38,631	104.30%
WASHINGTON	4	4.1	5.9	5.8	5.7	5.6	6.1	5.8	\$35,321	95.30%
WAYNE	6.8	5.5	7.4	6.6	6.8	5.7	6.2	6.7	\$25,083	67.70%



	Unemployment Rate (Percentages)								Median Household Income (2004)	% of State Median HH Income
County	1999	2000	2001	2002	2003	2004	2005	2006		
WEBSTER	7.3	4.6	4.6	5.1	6.7	4.4	5.1	5.1	\$36,213	97.80%
WHITLEY	6.1	4.9	6	7	7.4	5.9	6.1	6.1	\$25,023	67.50%
WOLFE	5.2	8.5	9	8.4	10	9.8	10.1	9.6	\$22,350	60.30%
WOODFORD	1.7	2.8	3.9	4	4.1	3.8	4.2	4.2	\$50,818	137.20%

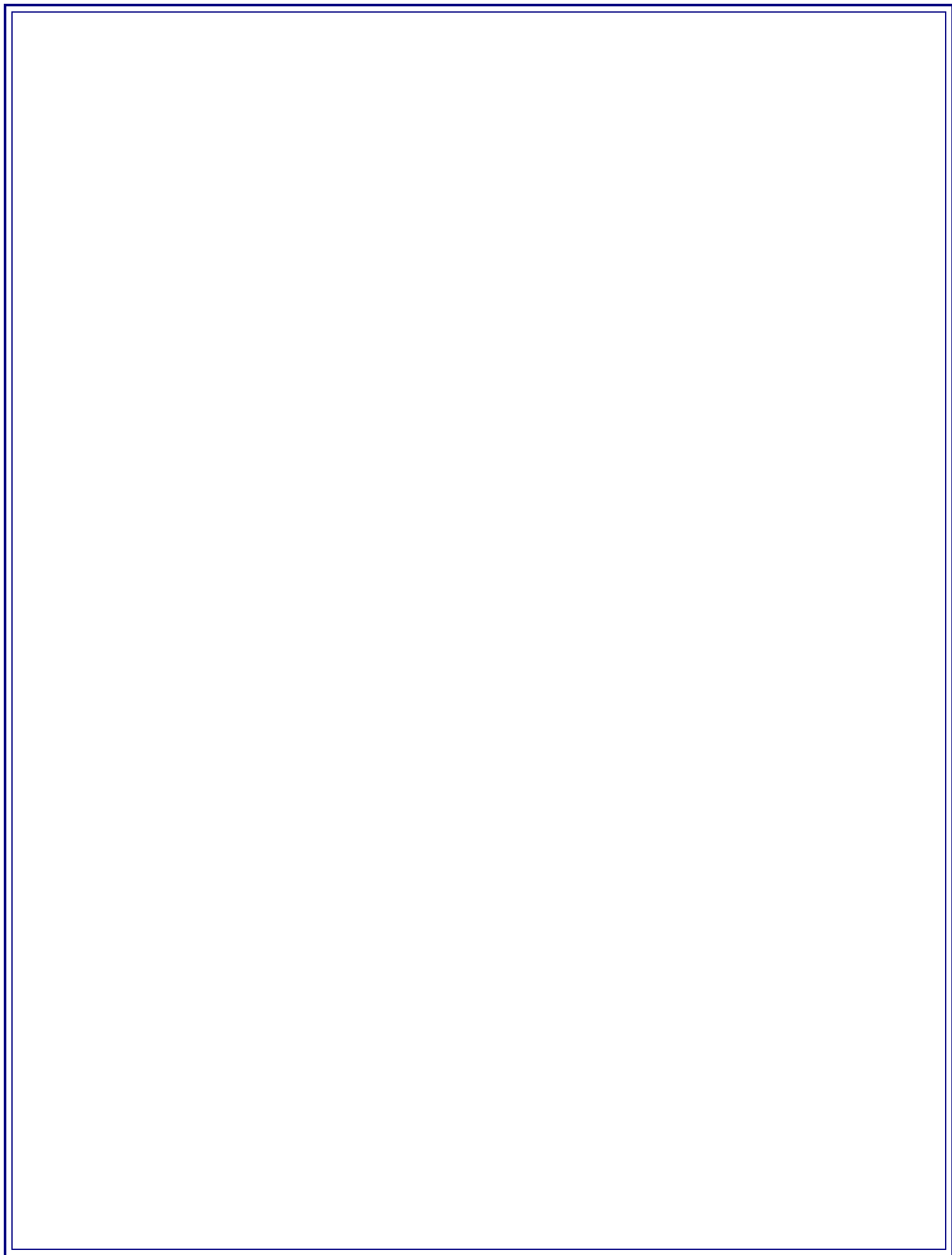
## County-Level Unemployment Rates for Kentucky



### Data Sources

- Local Area Unemployment Statistics, county data, [Bureau of Labor Statistics](#).
- Regional Economic Information System, June 2005, [Bureau of Economic Analysis](#), U.S. Department of Commerce.
- 1980, 1990, and 2000 Census of Population, [Bureau of the Census](#), U.S. Department of Commerce.







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